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LoRaWAN 1.0.4

End Device Certification Requirements for All Regions

Version 1.0

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170

171 **Revision History**

Version	Author	Updates	Date
Version 1.0	Nisha Bhaskaran / Derek Hunt	Release for Class A devices	October 2020

172

173 **Glossary**

LoRaWAN	Protocol specification developed and maintained by the LoRa Alliance.
Certification Logo	LoRa Alliance defined logo that can be displayed on the Certified product and any documentation and marketing information about the End-Device.
LoRa Test House	Organization and corresponding facility accredited by the LoRa Alliance to perform Certification testing.
End Device	Device submitted to a LoRa Test House for Certification.

174

175 **Abbreviations & Acronyms**

ABP	Activation by Personalization
ADR	Adaptive Data Rate
AS	Application Server
ATH	Authorized Test House
AWG	Arbitrary Waveform Generator
DR	Data Rate
DUT	Device Under Test
ERP	Equivalent Radiated Power compared to a dipole antenna (expressed in dBd)
EIRP	Equivalent Isotropic Radiated Power: $ERP = EIRP - 2.15dB$ (expressed in dBi)
ETSI	European Telecommunications Standards Institute
FSK	Frequency Shift Keying modulation technique.
GW	Gateway
LCTT	LoRaWAN Conformance Test Tool
MAC	Media Access Control
NS	Network Server

OTAA	Over-the-Air Activation
TCL	Test Control Layer of the Test Harness
TRP	Total Radiated Power

176 **Definitions of terms used in this document**

XXXX	X is a valid number which would vary based on the region being tested
DC	Dynamic Channel
FC	Fixed Channel
MinDR	Minimum Data Rate for the region tested, as specified in the Regional Parameters Specification RP2 1.0.1
Max125kHzDR	Maximum Data Rate using 125 kHz bandwidth for the region tested, as specified in the Regional Parameters Specification RP2 1.0.1
Nb	Number
R	Repeat until the condition specified within brackets [] is completed

References

[1]	LoRaWAN Specifications L2 1.0.4.
[2]	LoRaWAN Regional Parameters Specification RP2 1.0.1.
[3]	LoRaWAN Certification Protocol Specification 1.0.0.

177

178 **1. Introduction**

179 This document specifies the minimum testing requirements for an End-Device to be designated
180 “**LoRaWAN Certified**”. LoRaWAN Certification will confirm that the End-Device meets the Functional
181 Requirements of the *LoRaWAN Specification Version L2 1.0.4* [1] for the corresponding regional
182 parameters as defined in the *LoRaWAN Regional Parameters Version RP2 1.0.1* [2].

183 **1.1. Scope of LoRaWAN Certification**

184 The scope of this test specification is limited to validating compliant implementation of the LoRaWAN
185 protocol for Class A Devices.

186 For LoRaWAN Certification it is not mandatory that the End-Device has all the Regulatory approvals,
187 but these will be required before the product can be sold or operated in the respective countries.

188 Intended or otherwise, the inevitable variability of performance and quality of the radio
189 implementation among End-Devices is too high to allow normalized-, practical evaluation. RF
190 performance measurement, whether radiated or conducted, is therefore considered out of scope for
191 the tests described herein. The RF performance of the End-Device will be tested solely as part of the
192 RF Performance Testing, which will be a separate test conducted at the Authorized Test House to
193 evaluate the RF performance of the device as part of LoRaWAN Certification testing.

194 **1.2. LoRaWAN Certification Process**

195 A party seeking LoRaWAN Certification for their End-Device must be a member of the LoRa Alliance in
196 good standing and only Test Houses designated accredited by the LoRa Alliance may perform the tests
197 described herein to earn it.

198 The Authorized Test Houses must communicate the complete results to the LoRa Alliance. If the device
199 has passed all mandatory tests, the LoRa Alliance will issue a certificate for the End-Device with respect
200 to a version of this document and in turn corresponding versions of [1] and [2]. Additionally, the
201 Alliance will publish both the status and a results summary on its web site along with data for any
202 optional features tested.

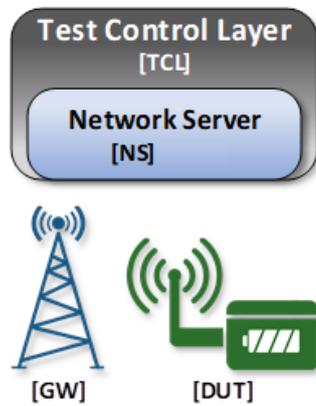
203 **1.3. Changes made in this version for the requirement changes** 204 **from LoRaWAN Specifications v1.0.2 to LoRaWAN Specification** 205 **v1.0.4**

- 206 • Combined all 5 regional Certification specifications into one.
- 207 • Certification Application has been removed. All testing will be done in Application mode.
208 Corresponding updates are made throughout the document to
 - 209 ○ Note down the default datarate of the device and reset to default if modified during
210 the test
 - 211 ○ Set the ADR bit if not already set, before performing LinkADRReq command
 - 212 ○ Turn off Duty cycle
- 213 • MAC commands added: DutyCycleReq and DeviceTimeReq
- 214 • Requirement changes made
 - 215 ○ DeviceStatusReq: SNR Margin has been renamed to RadioStatus

- 216 ○ NewChannelReq: For Fixed Channel plan devices, no test must be performed
- 217 ○ TXParamSetupReq: This requirement must be tested for regions other than Asian
- 218 region as well to confirm that the command is ignored
- 219 ○ LinkADDRReq: The value [0x]F of either DataRate or TXPower means that the DUT must
- 220 ignore that field and keep the current parameter values
- 221 ○ LinkADDRReq - TXPower: When commanded to a valid TX power level lower than it is
- 222 capable of, the DUT must respond with an unsuccessful LinkADRAns and operates at
- 223 its previously configured TX power
- 224 ○ LinkADDRReq – TXPower: When commanded to a valid TX power level greater than it is
- 225 capable of, the DUT must respond with a successful LinkADRAns and operates at its
- 226 maximum TX power
- 227 ○ New section added: Multiple MAC command prioritization
- 228 ● Section added for Test Case mapping with LW 1.0.4
- 229 ● Formatting:
- 230 ○ Uplinks and the corresponding downlink are combined the same step to indicate the
- 231 exact sequence
- 232

233 2. Functional Test Description for LoRaWAN Certification

234 The list of tests specified below reflects the functional requirements of a Class-A End-Device as defined
235 in [1]. The tests are conducted in a test harness generally comprised of:



- A Test Control Layer [TCL]
- A LoRaWAN Network Server [NS]
- 8/16/64 channel LoRaWAN gateway [GW]
- The End-Device Under Test [DUT]

Figure 1: Test Harness Architecture

236

237 **Note:**

- 238 - A 16-channel gateway device will be used for all official Dynamic Channel Plan device certification
239 testing
- 240 - A 64-channel gateway device will be used for all official Fixed Channel Plan device certification
241 testing
- 242 - An 8-channel gateway device could be used for unofficial pre-testing conducted at LoRaWAN
243 member labs. However, for official certification testing, 8-channel gateway devices will not be
244 used. When using an 8-channel gateway, 125kHz Channels 0-7 and 500kHz Channel 64 must be
245 configured on the gateway.

246

247 Implementation of this harness architecture is expected to vary among test houses. The Test Control
248 Layer [TCL] is assumed to be a framework of automated scripts and tools that manipulates the Network
249 Server [NS] to facilitate the tests. Specifically, the TCL drives events in the harness, controlling
250 application and network-control content of downlinks. It also decrypts, inspects and validates content
251 of uplinks sent by the DUT. This allows test coverage to include:

- 252 • Cryptography
- 253 • Timing of the DUT Receive Windows
- 254 • Frequency Channel usage and Data Rate adaptation
- 255 • Max Payload handling

256 For brevity reasons, this document makes procedural reference to only the TCL, NS, the DUT.

257 The LoRaWAN gateway [GW] and DUT are collocated in an RF-isolated environment, provisioned as
258 necessary for reliable bi-directional communication. It is nonetheless expected that both the DUT and
259 GW will not receive every frame intended for reception. The TCL should make reasonable effort to
260 accommodate this inevitability. The RF-isolated environment mentioned above should mitigate any
261 potential interference.

262 Testing occurs to certify the DUT for each supported activation method, be it over-the-air activation
263 (OTAA), activation-by-personalized (ABP), or both.

264 The TCL must verify the following throughout the course of this certification test suite:

- 265 • The DUT's uplinks' size must respect the maximum allowed uplink size for the data rate used.
- 266 • The length of the DUT's uplinks based on the expected content to ensure no extraneous and
267 unnecessary content is present.

268 When the TCL is restarted, the DUT must be set to the factory reset mode.

269

270 **MIC check Test Note:** When the tool encounters an invalid MIC, it must fail the specific test being
271 performed.

272 **Downlink FPort Test Note:** All MAC commands sent by the TCL will be sent on FPort 0, unless specified
273 otherwise in the Sequence charts in this document.

274

275 **Channel Mask configuration for LinkADRReq MAC command for pre-testing using an 8-** 276 **channel gateway for Fixed Channel plan devices**

277 For Fixed channel plan devices, when the MAC-CMD LinkADRReq is required to be sent
278 by the TCL, if the device is being pre-tested using an 8-channel gateway, the LinkADRReq
279 in the sequence charts must be replaced by the LinkADRReq commands mentioned
280 below. The LinkADRReq must first disable all 125kHz channels, enable only the channel
281 64 – 500kHz, and then enable Channels 0-7 using a second LinkADRReq.

282

283 MAC-CMD LinkADRReq
284 ChMaskCntl = 7
285 ChMask = [0x]0001
286
287 MAC-CMD LinkADRReq
288 ChMaskCntl = 0
289 ChMask = [0x]00FF
290
291 Payload = [0x]03XXXXXXXX[0x]03XXXXXXXX
292

293 If a 64-channel gateway is being used for testing, then the LinkADRReq must be the same as
294 mentioned in the Sequence charts.

295 **2.1. Activation Pre-test**

296 **Section 2.1.1 – DUT Pre-condition Activation** tests - must be executed as the first test when
297 executing a single test or multiple tests.

298
299 **Note:** The TCL sends frames only on the RX2 window of the previous frame of the DUT for all tests
300 in this document, unless specified otherwise in the Sequence Charts of the Test cases.

301
302 The DUT must support either over-the-air (OTA) activation or activation by personalization (ABP)
303 or both. If the device supports both OTAA and ABP, the device vendor must provide 2 separate
304 devices, one supporting OTAA and the other supporting ABP method of activation to the
305 Authorised Test House (ATH). The ATH will use the appropriate device for each activation test. In
306 the case where the device supports both OTAA and ABP for the same firmware version, the ATH
307 will run the complete test cycle for the ABP device and then test only the OTAA specific tests for
308 the OTAA device.

309 **2.1.1. DUT Pre-condition Activation**

310 After initial power-up – and activation if the **DUT** supports OTAA instead of ABP – the **DUT**
311 must transmit an uplink packet as soon as possible (recommended within 10 seconds).
312 Contents of this “I’m alive” packet are unimportant.

313 The **TCL** replies to this packet with a downlink payload frame of [0x]0601
314 (*TxPeriodicityChangeReq*) sent to port 224, setting the Uplink Periodicity value to 5 seconds.
315 Upon setting the uplink periodicity value, the **DUT** must try to send an unconfirmed/confirmed
316 uplink every 5 seconds.

317 If the uplink sent by the **DUT** is a Confirmed frame, the **TCL** sends a *TxFramesCtrlReq* command
318 to the **DUT** to send Unconfirmed frames thereafter.

319 The **TCL** then checks the ADR Bit setting of the DUT and if disabled, it enables the ADR Bit using
320 the *AdrBitChannelReq* command.

321 The **TCL** then sets the Data Rate to Max125kHzDR, refer [\[2\]](#), using the *LinkADRReq* command.

322 **TCL** finally sends the *DutVersionsReq* command to the **DUT** to obtain the version of the device.

323 Verify that

- 324 • **DUT** increments the DevNonce on reset
- 325 • **DUT** Uplink Periodicity is set to 5 seconds
- 326 • **DUT** sends Unconfirmed uplink frames
- 327 • **DUT** enables its ADR Bit
- 328 • **DUT** sets the Data Rate to Max125kHzDR
- 329 • **DUT** sends the version information in the *DutVersionsAns* response. **TCL** must store
- 330 this version number for display in the final Test Report and Certificate.

331

332 For more details on the Certification Protocol implementation, refer to the Certification
333 Protocol Specification [\[3\]](#).

334 2.1.1.a. **Test Procedure Frame Sequence Chart**

335

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	If DUT = OTA device, DUT sends a Join-Request frame	→	DataRate (DR) = Any allowed DR, refer [2]	
	If DUT = ABP device, skip Step 1. TCL sends a Join-Accept frame	←	Note down the DevNonce	
2	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices and any random number for ABP devices)	→	If the DUT is an ABP device and ADR Bit is set, DataRate (DR) = Minimum DR allowed by the DUT, refer [2]	
	The TCL sends Unconfirmed frame	←	If DUT sent Confirmed uplink frame, TCL must Acknowledge CP-CMD DutResetReq FPort = 224 Payload = [0x]01	
3	If DUT = OTA device, DUT sends a Join-Request frame	→	DevNonce is greater than DevNonce of previous JR	
	If DUT = ABP device, skip Step 3. TCL sends Join-Accept response on RX1 window	←		
4	DUT sends Confirmed or Unconfirmed frame FCntUp = m For OTA device, m = 0 or 1 For ABP device, m > n	→	If the DUT is an ABP device, and ADR Bit is set, DataRate (DR) = Minimum DR allowed by the DUT, refer [2]	Uplink Periodicity set
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
5	DUT sends Confirmed or Unconfirmed frame FCntUp = m + 1	→	FPort = any allowed port except 224	
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
6	DUT sends Unconfirmed frame FCntUp = m + 2	→		

	If FCtrl ADR Bit = false, then The TCL sends Unconfirmed frame Else, this step is skipped	←	CP-CMD AdrBitChangeReq-ON FPort = 224 Payload = [0x]0401	
7	DUT sends Unconfirmed frame FCntUp = m + 3	→	FCtrl ADR bit = true	Turn on the ADR bit
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] Payload = [0x]03XXXXXXXX ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF	
8	DUT sends Unconfirmed frame in 5 seconds FCntUp = m + 4	→	MAC-CMD LinkADRAns Payload = [0x]0307	Changed the DR to Max125kHz DR
	The TCL sends Unconfirmed frame	←	CP-CMD DutVersionsReq FPort = 224 Payload = [0x]7F	
9	DUT sends Unconfirmed frame FCntUp = m + 5		CP-CMD DutVersionsAns FPort = 224 Payload = [0x]7FXXXXXXXXXXXXXXXXXX XXX	DUT version obtained and stored for future

336 **Note:** The FCntUp value can either start form 0 or 1. Some secure-elements implementations verify that the
337 counter value is strictly greater than the previous value before performing the crypto operations.
338 Thus, when resetting to 0 the value of the counter in OTAA mode, and the first time the crypto operations are
339 performed, the counter is incremented. On other implementations, the counter value is initialized to the maximum
340 32-bit unsigned value [0x]FFFFFFF which then becomes 0 when incremented by 1 prior to performing the crypto
341 operation.
342

343 2.2.Over the Air Activation

344 This test must be performed if the **DUT** supports over the air activation. The test verifies the
345 correct functionality of the over-the-air activation. Furthermore, the fields within the Join-
346 Accept frame (DLSettings and RXDelay) used to modify the data rates and receive window
347 timing are tested to verify compliance.

348 2.2.1. Pre-Join Behaviour

349 2.2.1.a. For Dynamic Channel (DC) plan devices

350 The **TCL** commands a re-join, and the **DUT** must respond with a Join-Request frame. The **TCL**
351 ignores the Join-Request frames until the **DUT** responds on all the default channels, refer [2].

352 After this, the **TCL** responds with a Join-Accept frame. The **TCL** waits for a maximum of (number
353 of default channels * 3) Join-Request frames before responding with a Join-Accept frame.

354 Verify

- 355 • **DUT** sends Join-Request frames until the **TCL** responds with a Join-Accept frame.
- 356 • All the default channels must be used at least once in these requests.
- 357 • Record the DataRate of the initial Join Requests.
- 358 • The duration between the Join-Request frames is greater than the
359 JOIN_ACCEPT_DELAY2 which is 6 seconds.
- 360 • Check if the Major version in the MAC header is correct and the RFU bits are set to 0
- 361 • The DevNonce value sent by the **DUT** in the Join-Request must be incremented in each
362 Join-Request.
- 363 • **DUT** successfully joins the network.

364

365

366 **JoinNonce check**

367 The **TCL** must trigger a Join-Request twice. The JoinNonce value of the second Join-Accept
368 frame must be the same as the first Join-Accept frame.

369 The **TCL** must trigger a Join-Request again and send a Join-Accept frame with a different
370 JoinNonce value.

371

372 Verify

- 373 • **DUT** accepts the first Join-Accept frame
- 374 • **DUT** rejects the second Join-Accept frame
- 375 • **DUT** resends the Join-Request after rejecting the second Join-Accept frame
- 376 • **DUT** joins the network after the **TCL** sends a Join-Accept frame with a different
377 JoinNonce value.

378

379 **2.2.1.a.i. Test Procedure Frame Sequence Chart**

380

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	Device Reinitializes [Not Joined]
2	DUT sends a maximum of (3 * number of default channels) Join-Request frames, i.e. until Join-Request frames are sent on all the default channels	→ R [3*NbCh] or [JR on AllCh]	<ul style="list-style-type: none"> - All Join-Request frames must be sent on all the default channels, refer [2], at least once. - Duration between previous Join-Request and next Join-Request > 6 seconds for all JoinRequest frames - Record the DataRate of the Join-Request frames - Major version is correct - RFU bits = 0 - DevNonce is greater than DevNonce of previous JR 	
	<i>TCL ignores all the Join-Request frames until all the default channels are used for the Join-Request frames</i>	R [3*NbCh] or [JR on AllCh]		
3	DUT sends Join-Request frame again	→	DataRate (DR) = any allowed DR, refer [2] DevNonce is greater than DevNonce of previous JR	
	TCL sends Join-Accept response on RX1 window	←		Join accepted
4	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Uplink Periodicity set
5	DUT sends Unconfirmed or Confirmed frame FCntUp = n + 1	→	FPort = any allowed port except 224	Next Uplink sent in 5 seconds

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
6	DUT sends Unconfirmed frame FCntUp = n + 2	→		
	If FCtrl ADR Bit = false, then The TCL sends Unconfirmed frame Else, this step is skipped	←	CP-CMD ADRBitChangeReq-ON FPort = 224 Payload = [0x]0401	
7	DUT sends Unconfirmed frame FCntUp = n + 3	→	FCtrl ADR bit = true	Turn on the ADR bit
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] Payload = [0x]03XXXXXXXX	
8	DUT sends Unconfirmed frame FCntUp = n + 4	→	MAC-CMD LinkADRAns Payload = [0x]0307	Changed the DR to Max125kHz DR
9	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	Device Reinitializes [Not Joined]
10	DUT sends Join-Request	→	DevNonce is greater than DevNonce of previous JR	
	TCL sends Join-Accept response	←	JoinNonce = a	
11	DUT sends Unconfirmed or Confirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Device Reinitializes [Not Joined]
12	DUT sends Join-Request	→	DevNonce is greater than DevNonce of previous JR	
	TCL sends Join-Accept response	←	JoinNonce = a	JoinNonce value must be the same as the previous one

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
13	DUT sends Join-Request	→	DevNonce is greater than DevNonce of previous JR	DUT rejects the JoinAccept response and sends JoinReq again
	TCL sends Join-Accept response	←	JoinNonce = b, where b NOT = a	Join accepted
14	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Uplink Periodicity set
15	DUT sends Confirmed or Unconfirmed frame FCntUp = n + 1	→	FPort = any allowed port except 224	
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
16	DUT sends Unconfirmed frame FCntUp = n + 2	→		
	If FCtrl ADR Bit = false, then The TCL sends Unconfirmed frame Else, this step is skipped	←	CP-CMD ADRBitChangeReq-ON FPort = 224 Payload = [0x]0401	
17	DUT sends Unconfirmed frame FCntUp = n + 3	→	FCtrl ADR bit = true	Turn on the ADR bit
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] Payload = [0x]03XXXXXXXX	
18	DUT sends Unconfirmed frame within 5 seconds FCntUp = n + 4	→	MAC-CMD LinkADRAns Payload = [0x]0307	Changed the DR to Max125kHz DR

382 2.2.1.b. **For Fixed Channel (FC) plan devices**

383 After the **TCL** commands a re-join to the DUT, the **DUT** sends a Join-Request frame on 125 kHz
384 and 500 kHz channels using the minimum data rate allowed for these channels respectively
385 (DR-X and DR-Y respectively), refer [2]. This is ignored by the server until a channel change
386 from 125kHz at DR-X to 500kHz at DR-Y or vice versa is recognized by the **TCL**. The last Join-
387 Request message indicating the channel change is processed and the server responds with a
388 Join-Accept message.

389
390 Verify

- 391 • **DUT** sends Join-Request frames on 125 kHz channels using DR-X and 500 kHz channels
392 using DR-Y. For example: For the US902-928 region, Join-Request must be sent on a
393 random 125 kHz channel at DR0 and a random 500kHz channel at DR4.
- 394 • The duration between the Join-Request frames is greater than the
395 JOIN_ACCEPT_DELAY2 which is 6 seconds.
- 396 • Check if the Major version in the MAC header is correct and the RFU bits are set to 0
- 397 • The DevNonce value sent by the DUT in the Join-Request must be incremented in each
398 Join-Request.
- 399 • **DUT** successfully joins the network.

400
401 The **TCL** again commands a re-join, the DUT sends Join-Request messages on 125 kHz channels
402 using DR-X and 500 kHz channels using DR-Y. The **TCL** does not respond to requests with the
403 same DR as the one responded in the previous test. It responds only to Join Requests with the
404 alternate DR.

405
406 Verify

- 407 • **DUT** joins successfully by way of a Join-Request sent at the DR not responded earlier.

408

409 **JoinNonce check**

410 The **TCL** must trigger a Join-Request twice. The JoinNonce value of the second Join-Accept
411 frame must be the same as the first Join-Accept frame.

412 The **TCL** must trigger a Join-Request again and send a Join-Accept frame with the correct
413 JoinNonce value.

414
415 Verify

- 416 • **DUT** accepts the first Join-Accept frame
- 417 • **DUT** rejects the second Join-Accept frame
- 418 • **DUT** resends the Join-Request after rejecting the second Join-Accept frame
- 419 • **DUT** joins the network after the **TCL** sends a Join-Accept frame with the correct
420 JoinNonce value.

421

422 **2.2.1.b.i. Test Procedure Frame Sequence Chart**
423

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	Device Reinitializes [Not Joined]
2	DUT sends Join-Request frames.	→ R [2*NbCh] or [DR-X NOT = DR-Y]	Join-Request @ minimum default data rate for - 125 kHz on Channel A and - 500 kHz channel on Channel B (where A is a random upstream channel utilizing 125 kHz and B is a random upstream channel utilizing 500 kHz), refer [2] i.e. Join-Request1 @ DR-X on Channel A Join-Request2 @ DR-Y on Channel B DevNonce is greater than DevNonce of previous JR	
	<i>TCL ignores the Join-Request frames and waits for a maximum of (2 * number of channels configured) uplink transmissions, until a channel change from 125kHz at DR-X to 500kHz at DR-Y or vice versa is recognized by the TCL.</i>	R [2*NbCh] or [DR-X NOT = DR-Y]		
	TCL sends Join-Accept response when it receives a Join-Request with a channel change, on RX1 window.	← [DR-X → DR-Y]	Join-Accept is sent to DUT without a CFList. <i>Pre-testing with 8-channel gateway:</i> CFListType = [0x]01 ChMask0 = [0x]00FF ChMask1 = [0x]0000 ChMask2 = [0x]0000 ChMask3 = [0x]0000 ChMask4 = [0x]0001	Join accepted
3	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	Device Reinitializes [Not Joined]

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
4	DUT sends Join-Request frames.	→ R [2*NbCh] or [DR-X NOT = DR-Y]	Join-Request @ minimum default data rate for - 125 kHz on Channel A and - 500 kHz channel on Channel B (where A is a random upstream channel utilizing 125 kHz and B is a random upstream channel utilizing 500 kHz), refer [2] i.e. Join-Request1 @ DR-X on Channel A Join-Request2 @ DR-Y on Channel B DevNonce is greater than DevNonce of previous JR	
	<i>TCL ignores the Join-Request frames and waits for a maximum of (2 * number of channels configured) uplink transmissions, until the DUT sends a Join-Request with a different DataRate from the one sent earlier.</i>	R [2*NbCh] or [JR-DR-X NOT = JR-DR-Y]		
	TCL sends Join-Accept response when it receives the Join-Request with the alternate DR	← [JR-DR-X NOT = JR-DR-Y]	Join-Accept is sent to DUT without a CFList. <i>Pre-testing with 8-channel gateway:</i> CFListType = [0x]01 ChMask0 = [0x]00FF ChMask1 = [0x]0000 ChMask2 = [0x]0000 ChMask3 = [0x]0000 ChMask4 = [0x]0001	Join accepted
5	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	Device Reinitializes [Not Joined]
6	DUT sends Join-Request	→	DevNonce is greater than DevNonce of previous JR	
	TCL sends Join-Accept response	←	JoinNonce = a	
7	DUT sends Unconfirmed or Confirmed frame	→		

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Device Reinitializes [Not Joined]
8	DUT sends Join-Request	→	DevNonce is greater than DevNonce of previous JR	
	TCL sends Join-Accept response	←	JoinNonce = a	JoinNonce value must be the same as the previous one
9	DUT sends Join-Request	→	DevNonce is greater than DevNonce of previous JR	DUT rejects the JoinAccept response and sends JoinReq again
	TCL sends Join-Accept response	←	JoinNonce = b, where b NOT = a <i>Official certification (64-channel gateway):</i> Join-Accept is sent to DUT without a CFList <i>Pre-testing with 8-channel gateway:</i> CFListType = [0x]01 ChMask0 = [0x]00FF ChMask1 = [0x]0000 ChMask2 = [0x]0000 ChMask3 = [0x]0000 ChMask4 = [0x]0001	Join accepted
10	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Uplink Periodicity set
11	DUT sends Confirmed or Unconfirmed frame FCntUp = n + 1	→	FPort = any allowed port except 224	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
12	DUT sends Unconfirmed frame FCntUp = n + 2	→		
	If FCtrl ADR Bit = false, then The TCL sends Unconfirmed frame Else, this step is skipped	←	CP-CMD ADRBitChangeReq-ON FPort = 224 Payload = [0x]0401	
13	DUT sends Unconfirmed frame FCntUp = n + 3	→	FCtrl ADR bit = true	Turn on the ADR bit
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] Payload = [0x]03XXXXXXXX ChMaskCntl = 6 ChMask = [0x]00FF	
14	DUT sends Unconfirmed frame in 5 seconds FCntUp = n + 4	→	MAC-CMD LinkADRAns Payload = [0x]0307	Changed the DR to Max125kHz DR

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2.2.2. Join-Accept with DLSettings

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432

Verify

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- DUT successfully joins the network
- DUT implements RX1DRoffset correctly after processing the Join-Accept
- DUT implements RX2DataRate correctly after processing the Join-Accept

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2.2.2.a. Test Procedure Frame Sequence Chart

439

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		Device Reinitializes [Not Joined]
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	
2	DUT sends Join-Request	→	DR = Z (where Z = any allowed DR, refer [2]) DevNonce is greater than DevNonce of previous JR	Join accepted with modified parameters
	TCL sends Join-Accept response	←	RX1DROffset = 2 RX2DataRate = Any DR except default RX2 DR, as defined in [2] Join-Accept is sent to DUT without a CFList. <i>Pre-testing with 8-channel gateway:</i> CFListType = [0x]01 ChMask0 = [0x]00FF ChMask1 = [0x]0000 ChMask2 = [0x]0000 ChMask3 = [0x]0000 ChMask4 = [0x]0001	
3	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		Uplink Periodicity set
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
4	DUT sends Unconfirmed or Confirmed frame FCntUp = n + 1	→		If DUT sent Confirmed uplink frame, TCL must Acknowledge
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701	
5	DUT sends Unconfirmed frame	→		
	If FCtrl ADR Bit = false, then The TCL sends Unconfirmed frame Else, this step is skipped	←	CP-CMD ADRBitChangeReq-ON FPort = 224 Payload = [0x]0401	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
6	DUT sends Unconfirmed frame	→	FCtrl ADR Bit = true	ADR Bit turned ON
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] Payload = [0x]03XXXXXXXX ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF	
7	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307 DR = Max125kHzDR	
	The TCL sends Unconfirmed frame on RX1 window	←	DR = Max125kHzDR - 2 CP-CMD EchoPayloadReq FPort 224 Payload = [0x]08010203	
8	DUT sends Unconfirmed frame	→	DR = Max125kHzDR CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08020304	RX1 reply sent
	The TCL sends Unconfirmed frame on RX2 window	←	RX2DataRate - As set in Join-Accept frame above CP-CMD EchoPayloadReq FPort 224 Payload = [0x]080A0B0C	
9	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]080B0C0D	RX2 reply sent
10	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	Revert the device to default values
11	DUT sends Join-Request	→	DR = Z (where Z = any allowed DR, refer [2]) DevNonce is greater than DevNonce of previous JR	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	TCL sends Join-Accept response	←	Join-Accept is sent to DUT without a CFList. <i>Pre-testing with 8-channel gateway:</i> CFListType = [0x]01 ChMask0 = [0x]00FF ChMask1 = [0x]0000 ChMask2 = [0x]0000 ChMask3 = [0x]0000 ChMask4 = [0x]0001	
12	DUT sends Unconfirmed or Confirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Uplink Periodicity set
13	DUT sends Unconfirmed or Confirmed frame	→		
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
14	DUT sends Unconfirmed frame	→		
	If FCtrl ADR Bit = false, then The TCL sends Unconfirmed frame Else, this step is skipped	←	CP-CMD ADRBitChangeReq-ON FPort = 224 Payload = [0x]0401	
15	DUT sends Unconfirmed frame	→	FCtrl ADR Bit = true	ADR Bit turned ON
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] Payload = [0x]03XXXXXXXXX ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
16	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307 DR = Max125kHzDR	

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441

2.2.3. Join-Accept with Delay Settings

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After the **TCL** triggers a JoinRequest, the **DUT** starts the Join procedure for over-the-air activation as above. The **TCL** responds with a Join-Accept frame containing Delay Settings on RX2 window, such that RX1 and subsequently RX2 timing is increased (at least 2 seconds are recommended). The **TCL** sends an echo command targeted to RX1 to which the **DUT** must respond correctly. The **TCL** repeats this same downlink test against the RX2 window, to which the **DUT** must respond correctly.

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Verify

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- **DUT** successfully joins the network
- **DUT** implements the new (non-default) Delay Settings
- **DUT** restores the default settings for RXDelay

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453

2.2.3.a. Test Procedure Frame Sequence Chart

454

Step	Procedure	Frame Sequence		Test Purpose
		End Device – TCL	Frame	
1	DUT sends Unconfirmed frame	→		Device Reinitializes [Not Joined]
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	
2	DUT sends Join-Request	→	DR = Any allowed DR, refer [2] DevNonce is greater than DevNonce of previous JR	Join accepted with modified parameters
	TCL sends Join-Accept response on RX2 window	←	RXDelay = n, where $2 \leq n \leq 15$ Join-Accept is sent to DUT without a CFlist. <i>Pre-testing with 8-channel gateway:</i> CFlistType = [0x]01 ChMask0 = [0x]00FF ChMask1 = [0x]0000 ChMask2 = [0x]0000 ChMask3 = [0x]0000 ChMask4 = [0x]0001	
3	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		Uplink Periodicity set
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
4	DUT sends Unconfirmed or Confirmed frame FCntUp = n + 1	→		
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
5	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame on RX1 window	←	RX1Delay = n seconds CP-CMD EchoPayloadReq FPort 224 Payload [0x]08010203	

Step	Procedure	Frame Sequence		Test Purpose
		End Device – TCL	Frame	
6	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort 224 Payload [0x]08020304	RX1 delay reply sent
	The TCL sends Unconfirmed frame on RX2 window	←	RX2Delay = n + 1 seconds CP-CMD EchoPayloadReq FPort 224 Payload [0x]080A0B0C	
7	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload [0x]080B0C0D	RX2 delay reply sent
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	Revert the device to default values
8	DUT sends Join-Request	→	DR = Z (where Z = any allowed DR, refer [2]) DevNonce is greater than DevNonce of previous JR	
	TCL sends Join-Accept response	←	Join-Accept is sent to DUT without a CFList. <i>Pre-testing with 8-channel gateway:</i> CFListType = [0x]01 ChMask0 = [0x]00FF ChMask1 = [0x]0000 ChMask2 = [0x]0000 ChMask3 = [0x]0000 ChMask4 = [0x]0001	
9	DUT sends Unconfirmed or Confirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Uplink Periodicity set
10	DUT sends Unconfirmed or Confirmed frame	→		
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
11	DUT sends Unconfirmed frame	→		

Step	Procedure	Frame Sequence		Test Purpose
		End Device – TCL	Frame	
	If FCtrl ADR Bit = false, then The TCL sends Unconfirmed frame Else, this step is skipped	←	CP-CMD AdrBitChangeReq-ON FPort = 224 Payload = [0x]0401	
12	DUT sends Unconfirmed frame	→	FCtrl ADR Bit = true	ADR Bit turned ON
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] Payload = [0x]03XXXXXXXX ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF	
13	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307 DR = Max125kHzDR	

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2.2.4. Join-Accept with CFList

457

2.2.4.a. For Dynamic Channel (DC) plan devices

458

After the **TCL** triggers a Join-Request, the **DUT** starts the Join procedure for over-the-air activation as above. The **TCL** responds with a Join-Accept frame containing an additional single channel in the CFList field. The **DUT** must use this additional channel together with the default channels within the following uplinks.

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The **TCL** again triggers the DUT to send a Join-Request. The **TCL** responds with a Join-Accept frame containing a CFListType = 1. The **DUT** rejects the CFList and enables all default channels. The **DUT** must not use the additional channel added earlier.

463

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Verify

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- **DUT** successfully joins the network
- **DUT** uses the new channel in its random selection of frequencies
- **DUT** removes the additional channel added earlier.

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2.2.4.a.i. Test Procedure Frame Sequence Chart

471

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		Device Reinitializes [Not Joined]
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	
2	DUT sends Join-Request	→	DR = Any allowed DR, refer [2] DevNonce is greater than DevNonce of previous JR	Join accepted
	TCL sends Join-Accept response	←	CFList = add single channel CFListType = 0	
3	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		Uplink Periodicity set
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
4	DUT sends Unconfirmed or Confirmed frame FCntUp = n + 1	→		
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
5	DUT sends Unconfirmed frame FCntUp = n + 2	→		
6	Wait until the new channel which was added has been used at least once. Wait for a maximum of [5* (number of channels configured)] uplink packets to be sent.	→ R [5*NbCh] or [AllCh used]	Channel added is used at least once	DUT adds the additional channel to its default channel plan
7	DUT sends Unconfirmed frame	→		Device Reinitializes [Not Joined]
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	
8	DUT sends Join-Request	→	DR = Any allowed DR, refer [2] DevNonce is greater than DevNonce of previous JR	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	TCL sends Join-Accept response	←	CFList = add another channel CFListType = 1	Join-Accept sent with CFListType = 1
9	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Uplink Periodicity set
10	DUT sends Unconfirmed or Confirmed frame FCntUp = n + 1	→		
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
11	DUT sends Unconfirmed frame FCntUp = n + 2	→		
12	Wait for [5* (number of channels configured)] uplink packets to be sent.	→ R [5*NbCh] or [AllCh used]	- Default channels are used at least once. - The additional channel is not used.	DUT removes the additional channel from its default channel plan

472

473 2.2.4.b. For Fixed Channel (FC) plan devices

474 After the TCL triggers a Join-Request, the DUT starts the Join procedure for over-the-
475 air activation as above.

476 The TCL responds with a Join-Accept frame with CFListType set to [0x]01 in the CFList
477 field. The ChMask fields must enable 3 channels (Channel 0, 1 and 64) and disable all
478 other channels.

479 Verify

- 480 • DUT successfully joins the network
- 481 • The DUT must use only the channels enabled for the uplinks.

482

483 The TCL triggers a Join-Request again and responds with a Join-Accept frame with
484 CFListType set to [0x]00 in the CFList field. The ChMask fields must enable 3 channels
485 (Channel 0, 1 and 64) and disable all other channels.

486 Verify

- 487 • DUT successfully joins the network
- 488 • The DUT must reject the CFList as the value of the CFListType = [0x]00 and
489 must use all channels for uplinks. For the sake of verification, verify that any
490 other channel other than the channels enabled in the ChMask bits are used for
491 the uplinks.

492

493 Finally, the TCL triggers a Join-Request again and responds with a Join-Accept frame
494 with CFListType set to [0x]01 in the CFList field. The ChMask fields must be set to
495 [0x]FFFF.

496 Verify

- 497 • DUT successfully joins the network
- 498 • The DUT must use all the channels for the uplinks.

499

500 **2.2.4.b.i. Test Procedure Frame Sequence Chart**

501

Step	Procedure	Frame Sequence		Test Purpose
		End Device – TCL	Frame	
1	DUT sends Unconfirmed frame	→		Device Reinitializes [Not Joined]
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02	
2	DUT sends Join-Request frame	→	DevNonce is greater than DevNonce of previous JR	Join accepted with CFList
	TCL sends Join-Accept response	←	CFListType = [0x]01 ChMask0 = [0x]0003 ChMask1 = [0x]0000 ChMask2 = [0x]0000 ChMask3 = [0x]0000 ChMask4 = [0x]0001	
3	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		Uplink Periodicity set
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
4	DUT sends Unconfirmed or Confirmed frame in 5 seconds FCntUp = n + 1	→	FPort = any allowed port except 224	Next Uplink sent in 5 seconds
5	Wait for a maximum of 5 uplinks to be sent.	→ R [max 5]	If DR = 125kHz DR, only Channels 0 and 1 must be used for all uplinks If DR = 500kHz DR, only channel 64 must be used for uplinks	Only the enabled channels are used for uplinks
6	DUT sends a Confirmed or Unconfirmed frame	→		Device Reinitializes [Not Joined]
	The TCL sends Unconfirmed frame	←	CP-CMD DutJoinReq FPort = 224 Payload = [0x]02 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
7	DUT sends Join-Request frames.	→	DevNonce is greater than DevNonce of previous JR	
	<i>TCL ignores the Join-Request frames and waits for a maximum of (2 * number of channels configured) uplink transmissions, until the Join-Request channel is a 500kHz channel</i>	<i>R</i> <i>[2*NbCh] or [500kHz channel]</i>		

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	TCL sends Join-Accept response	←	CFListType = [0x]00 ChMask0 = [0x]0003 ChMask1 = [0x]0000 ChMask2 = [0x]0000 ChMask3 = [0x]0000 ChMask4 = [0x]0001	Join accepted with CFListType = [0x]00
8	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Uplink Periodicity set
9	DUT sends Unconfirmed or Confirmed frame in 5 seconds FCntUp = n + 1	→	FPort = any allowed port except 224	Next Uplink sent in 5 seconds
10	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Uplink Periodicity set
11	DUT sends Confirmed or Unconfirmed frame in 5 seconds FCntUp = n + 1	→	FPort = any allowed port except 224	Next Uplink sent in 5 seconds
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	

Step	Procedure	Frame Sequence		Test Purpose
		End Device – TCL	Frame	
12	<p>If Data Rate = 500kHz DR, wait for (5 * number of 500kHz channels configured) uplink transmissions (max. 16 packets for 500kHz channels), i.e. until all 500kHz channels configured are used at least once</p> <p>If Data Rate = 125kHz DR, skip this step</p>	<p>→ R [5*Nb500kHzCh] or [AllCh used]</p>	<p><i>Official certification (64-channel gateway):</i> All 500kHz channels must be used at least once</p> <p><i>Pre-testing with 8-channel gateway:</i> Channel 64 must be used at least once.</p>	All 500kHz channels must be used at least once
13	DUT sends a Confirmed or Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	<p>CP-CMD DutJoinReq FPort = 224 Payload = [0x]02</p> <p>If DUT sent Confirmed uplink frame, TCL must Acknowledge</p>	Device Reinitializes [Not Joined]
14	DUT sends Join-Request frames.	→	DevNonce is greater than DevNonce of previous JR	
	<i>TCL ignores the Join-Request frames and waits for a maximum of (2 * number of channels configured) uplink transmissions, until the Join-Request channel is a 125kHz channel</i>	R [2*NbCh] or [125kHz channel]		
	TCL sends Join-Accept response	←	<p>Join-Accept must be sent without a CFList.</p> <p><i>Pre-testing with 8-channel gateway:</i> CFListType = [0x]01 ChMask0 = [0x]00FF ChMask1 = [0x]0000 ChMask2 = [0x]0000 ChMask3 = [0x]0000 ChMask4 = [0x]0001</p>	Join accepted without a CFList
15	DUT sends Unconfirmed or Confirmed frame FCntUp = n (where n = 0 or 1 for OTA devices)	→		
	The TCL sends Unconfirmed frame	←	<p>CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601</p> <p>If DUT sent Confirmed uplink frame, TCL must Acknowledge</p>	Uplink Periodicity set

Step	Procedure	Frame Sequence		Test Purpose
		End Device – TCL	Frame	
16	DUT sends Confirmed or Unconfirmed frame in 5 seconds FCntUp = n + 1	→	FPort = any allowed port except 224	Next Uplink sent in 5 seconds
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
17	Wait for (2 * number of 125kHz channels configured) uplink transmissions (max. 128 packets for 125kHz channels), i.e. until all 125kHz channels configured are used at least once	→ R [2*Nb125kHzCh] or [AllCh used]	<i>Official certification (64-channel gateway):</i> All 125kHz channels must be used at least once <i>Pre-testing with 8-channel gateway:</i> Channels 0-7 must be used at least once.	All 125kHz channels must be used at least once
18	DUT sends Unconfirmed frame	→		
	If FCtrl ADR Bit = false, then The TCL sends Unconfirmed frame Else, this step is skipped	←	CP-CMD ADRBitChangeReq-ON FPort = 224 Payload = [0x]0401	
19	DUT sends Unconfirmed frame	→	FCtrl ADR bit = true	Turn on the ADR bit
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] Payload = [0x]03XXXXXXXX ChMaskCntl = 6 ChMask = [0x]00FF	
20	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	Changed the DR to Max125kHz DR

502

503 2.3. Activation by Personalization

504 This test must be performed if the **DUT** supports activation by personalization.

505 For this test, the Authorised Test Lab must use the device provided by the device vendor to
506 test the ABP activation functionality.

507

508 After initial power-up, the **DUT** must be enabled for testing as specified in Section 2.1.1.

- 509 Verify
- 510 • **DUT** successfully joins the network.
- 511
- 512 The Test Procedure Message Sequence Chart for this test is the same as Section 2.1.1.
- 513
- 514 Additionally, the DUT must also retain its previous settings even after reset.
- 515 **TCL** sets the **DUT**'s parameters using the MAC commands RXParamSetupReq, DChannelReq
- 516 and RXTimingSetupReq.
- 517 The **DUT** is then reset using the CP-CMD DutResetReq.
- 518
- 519 Verify
- 520 • The **DUT**'s parameters must be retained even after reset.
- 521 **2.3.1. Dynamic channel plan devices**
- 522 **2.3.1.a. All regions - Test Procedure Frame Sequence Chart**
- 523

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	Perform all steps mentioned in Section 2.1.1		Same results as mentioned in Section 2.1.1	
2	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	<p>MAC-CMD LinkADRRReq TXPower = Minimum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 ChMask = [0x]0001</p> <p>MAC-CMD RxParamSetupReq RX1DRoffset = any allowed offset value except default, refer [2] RX2DataRate = Any DataRate allowed except default, refer [2] RX2Frequency = Y (where Y = any frequency allowed except default, refer [2])</p> <p>MAC-CMD DIChannelReq ChIndex = C (where C = Any default channel, refer [2]) Freq = X (where X = any allowed frequency except default, refer [2])</p> <p>MAC-CMD RxTimingSetupReq Delay (i) = 2</p> <p>Payload = [0x]03XXXXXXXX[0x]05XXXXXXXX [0x]0AXXXXXXXXX[0x]08XX</p>	Channel 0 enabled RxParamSetupReq, DIChannelReq, RxTimingSetupReq commands executed
3	DUT sends Unconfirmed frame	→	<p>MAC-CMD LinkADRAns MAC-CMD RxParamSetupAns MAC-CMD DIChannelAns MAC-CMD RxTimingSetupAns Payload = [0x]0307[0x]0507[0x]0A03[0x]08</p>	
	The TCL sends Unconfirmed frame	←	<p>CP-CMD DutResetReq FPort = 224 Payload = [0x]01</p>	Reset the DUT
4	DUT sends Confirmed or Unconfirmed frame	→	If the ADR Bit is set, DataRate (DR) = Minimum DR allowed by the DUT, refer [2]	

	The TCL sends Confirmed frame on RX1 window	←	<p>RX1DRoffset = as set in Step 2 RX1Delay = as set in Step 2 Freq = as set in Step 2</p> <p>CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601</p> <p>If DUT sent Confirmed uplink frame, TCL must Acknowledge</p>	RX1DRoffset , RX1Delay and Freq retained after reset
5	DUT sends Confirmed or Unconfirmed frame	→	ACK Bit = True	
	<p>If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame</p> <p>Else, this step must be skipped</p>	←	<p>CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701</p> <p>If DUT sent Confirmed uplink frame, TCL must Acknowledge</p>	
6	Wait for a maximum of 5 * (number of default channels)	→ R [5*Nb ChDC] or [AllCh used]	All default channels must be used at least once	All channels must be enabled after the reset
7	DUT sends Unconfirmed frame	→		
	<p>If FCtrl ADR Bit = false, then</p> <p>The TCL sends Unconfirmed frame</p> <p>Else, this step is skipped</p>	←	<p>CP-CMD ADRBitChangeReq-ON FPort = 224 Payload = [0x]0401</p>	
8	DUT sends Unconfirmed frame	→	FCtrl ADR bit = true	Turn on the ADR bit
	The TCL sends Confirmed frame on RX2 window	←	<p>RX2DataRate = as set in Step 2 RX2Frequency = as set in Step 2</p> <p>MAC-CMD RxParamSetupReq RX1DRoffset = default, refer [2] RX2DataRate = default, refer [2] RX2Frequency = default, refer [2]</p> <p>MAC-CMD DIChannelReq ChIndex = C Freq = default, refer [2]</p> <p>MAC-CMD RxTimingSetupReq Delay (i) = default, refer [2]</p> <p>MAC-CMD LinkADRRReq DataRate = Max125kHzDR, refer [2]</p> <p>Payload = [0x]05XXXXXXXXXX[0x]0AXXXXXXXXXX X[0x]08XX[0x]03XXXXXXXXXX</p>	<p>RX2DataRate, RX2Frequency setting retained after reset</p> <p>Later, revert to default for all settings.</p> <p>Set DR to Max125kHzDR</p>

9	DUT sends Unconfirmed frame	→	ACK Bit = True MAC-CMD RxParamSetupAns MAC-CMD D1ChannelAns MAC-CMD RxTimingSetupAns MAC-CMD LinkADRAns Payload = [0x]0507[0x]0A03[0x]08[0x]0307	
---	-----------------------------	---	--	--

524 **2.3.1.b. For regions with Dwell Time limitation only - Test Procedure Frame**
 525 **Sequence Chart**

526 These tests must be performed only for regions with Dwell Time limitation. **TCL** sets the **DUT**'s
 527 UplinkDwellTime using the TXParamSetupReq MAC command. The **DUT** is then reset using the
 528 CP-CMD DutResetReq.

529
 530 Verify

- 531 • The UplinkDwellTime setting must be retained even after reset.

532
 533

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		TXParamSetupReq command executed
	The TCL sends Unconfirmed frame	←	MAC-CMD TXParamSetupReq UplinkDwellTime = 0 Payload = [0x]09XX	
2	DUT sends Unconfirmed frame	→	MAC-CMD TXParamSetupAns Payload = [0x]09	Reset the DUT
	The TCL sends Unconfirmed frame	←	CP-CMD DutResetReq FPort = 224 Payload = [0x]01	
3	DUT sends Confirmed or Unconfirmed frame	→		Change periodicity to 5sec
	The TCL sends Confirmed frame on RX1 window	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
4	DUT sends Confirmed or Unconfirmed frame	→	ACK Bit = True	
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
5	DUT sends Unconfirmed frame	→		
	If FCtrl ADR Bit = false, then The TCL sends Unconfirmed frame Else, this step is skipped	←	CP-CMD AdrBitChangeReq-ON FPort = 224 Payload = [0x]0401	
6	DUT sends Unconfirmed frame	→	FCtrl ADR bit = true	Turn on the ADR bit
	The TCL sends Unconfirmed frame on RX2 window	←	MAC-CMD LinkADRReq DataRate = MinDR, refer [2] Payload = [0x]03XXXXXXXX	UplinkDwellTime setting retained after reset-tested by setting to MinDR
7	DUT sends Unconfirmed frame in 5 seconds	→	MAC-CMD LinkADRAns Payload = [0x]0307	
8	DUT sends Unconfirmed frame	→		

	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] MAC-CMD TXParamSetupReq UplinkDwellTime = default, refer [2] Payload = [0x]03XXXXXXXX[0x]09XX	Revert to Max125kHz DR and revert UplinkDwellT ime to default
9	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD TXParamSetupAns Payload = [0x]0307[0x]09	

534

535

2.3.2. Fixed channel plan devices

536

2.3.2.a. All regions - Test Procedure Frame Sequence Chart

537

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	Perform all steps mentioned in Section 2.1.1		Same results as mentioned in Section 2.1.1	
2	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	<p>MAC-CMD LinkADRRReq TXPower = Minimum, refer [2] DataRate = Max500kHzDR, refer [2] ChMaskCntl = 7 ChMask = [0x]0001</p> <p>MAC-CMD LinkADRRReq TXPower = Minimum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 ChMask = [0x]0003</p> <p>MAC-CMD RxParamSetupReq RX1DRoffset = any allowed offset value except default, refer [2] RX2DataRate = Any DataRate allowed except default, refer [2] RX2Frequency = Y (where Y = any frequency allowed except default, refer [2])</p> <p>MAC-CMD RxTimingSetupReq Delay (i) = 2</p> <p>Payload = [0x]03XXXXXXXX[0x]03XXXXXXXX [0x]05XXXXXXXX[0x]08XX</p>	<p>Channels 0, 1 and 64 enabled</p> <p>RxParamSetupReq, RxTimingSetupReq commands executed</p>
3	DUT sends Unconfirmed frame	→	<p>MAC-CMD LinkADRAns MAC-CMD LinkADRAns MAC-CMD RxParamSetupAns MAC-CMD RxTimingSetupAns Payload = [0x]0307[0x]0307[0x]0507[0x]08</p>	
4	The TCL sends Unconfirmed frame	←	<p>CP-CMD DutResetReq FPort = 224 Payload = [0x]01</p>	Reset the DUT
	DUT sends Confirmed or Unconfirmed frame	→		

	The TCL sends Confirmed frame on RX1 window	←	RX1DRoffset = as set in Step 2 RX1Delay = as set in Step 2 Freq = as set in Step 2 CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	RX1DRoffset , RX1Delay and Freq retained after reset
5	DUT sends Confirmed or Unconfirmed frame	→	ACK Bit = True	
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
6	If DR = 125kHz DR, wait for a maximum of 2 * (number of 125kHz channels configured) uplink packets to be sent, i.e. until all channels are used at least once. If DR = 500kHz DR, wait for a maximum of 5 * (number of 500kHz channels configured) uplink packets to be sent, i.e. until all channels are used at least once.	→ R [2*Nb 125k HzCh] or [5*Nb 500k HzCh] or [AllCh used]	If DR = 125kHz DR, all 125kHz channels including the channels which were disabled earlier must be used at least once If DR = 500kHz DR, all 500kHz channels including the channels which were disabled earlier must be used at least once <i>Pre-testing for FC plan with 8-channel gateway:</i> Channels 0-7 (if DR = 125kHz DR) or channel 64 (if DR = 500kHz DR) must be used at least once.	All channels must be enabled after the reset
7	DUT sends Unconfirmed frame	→		
	If FCtrl ADR Bit = false, then The TCL sends Unconfirmed frame Else, this step is skipped	←	CP-CMD ADRBitChangeReq-ON FPort = 224 Payload = [0x]0401	
8	DUT sends Unconfirmed frame	→	FCtrl ADR bit = true	Turn on the ADR bit

	The TCL sends Confirmed frame on RX2 window	←	<p>RX2DataRate = as set in Step 2 RX2Frequency = as set in Step 2</p> <p>MAC-CMD RxParamSetupReq RX1DRoffset = default, refer [2] RX2DataRate = default, refer [2] RX2Frequency = default, refer [2]</p> <p>MAC-CMD RxTimingSetupReq Delay (i) = default, refer [2]</p> <p>MAC-CMD LinkADRRReq DataRate = Max125kHzDR, refer [2]</p> <p>Payload = [0x]05XXXXXXXX[0x]08XX[0x]03XX XXXXXX</p>	<p>RX2DataRate, RX2Freq, retained after reset</p> <p>Revert to default for all settings.</p> <p>Changed the DR to Max125kHz DR</p>
9	DUT sends Unconfirmed frame	→	<p>ACK Bit = True</p> <p>MAC-CMD RxParamSetupAns MAC-CMD RxTimingSetupAns MAC-CMD LinkADRAns Payload = [0x]0507[0x]08[0x]0307</p>	

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2.3.2.b. For regions with Dwell Time limitation only - Test Procedure Frame Sequence Chart

These tests must be performed only for regions with Dwell Time limitation. **TCL** sets the **DUT**'s UplinkDwellTime using the TXParamSetupReq MAC command. The **DUT** is then reset using the CP-CMD DutResetReq.

Verify

- The UplinkDwellTime setting must be retained even after reset.

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD TXParamSetupReq UplinkDwellTime = 0 Payload = [0x]09XX	TXParamSetupReq command executed
2	DUT sends Unconfirmed frame	→	MAC-CMD TXParamSetupAns Payload = [0x]09	
	The TCL sends Unconfirmed frame	←	CP-CMD DutResetReq FPort = 224 Payload = [0x]01	Reset the DUT
3	DUT sends Confirmed or Unconfirmed frame	→		
	The TCL sends Confirmed frame on RX1 window	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Change periodicity to 5sec
4	DUT sends Confirmed or Unconfirmed frame	→	ACK Bit = True	
	If DUT sent a Confirmed frame, then The TCL sends Unconfirmed frame Else, this step must be skipped	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
5	DUT sends Unconfirmed frame	→		
	If FCtrl ADR Bit = false, then The TCL sends Unconfirmed frame Else, this step is skipped	←	CP-CMD AdrBitChangeReq-ON FPort = 224 Payload = [0x]0401	
6	DUT sends Unconfirmed frame	→	FCtrl ADR bit = true	Turn on the ADR bit
	The TCL sends Unconfirmed frame on RX2 window	←	MAC-CMD LinkADRRReq DataRate = MinDR, refer [2] Payload = [0x]03XXXXXXXX	UplinkDwellTime setting retained after reset-tested by setting to MinDR
7	DUT sends Unconfirmed frame in 5 seconds	→	MAC-CMD LinkADRAns Payload = [0x]0307	
8	DUT sends Unconfirmed frame	→		

	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] MAC-CMD TXParamSetupReq UplinkDwellTime = default, refer [2] Payload = [0x]03XXXXXXXX[0x]09XX	Revert to Max125kHz DR and revert UplinkDwellT ime to default
9	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD TXParamSetupAns Payload = [0x]0307[0x]09	

549

550 2.4. Device Functionality Tests

551 2.4.1. Default Setting Tests

552 The **TCL** will test the basic functionality of the **DUT** using the current applicative 'RxAppCnt'
553 value and using the [0x]08 Echo command. The purpose of these tests is to detect
554 implementation errors in the **DUT** early, instead of failing later tests.
555

556 2.4.1.a. Cryptography:

557 Verifies that AES encryption and message integrity code (MIC) algorithms are correctly
558 implemented by the **DUT**.

559 2.4.1.a.i. AES Encryption

560 **TCL** will send multiple [0x]08 Echo commands with varying length payloads to the **DUT**.

561 The varying payloads lengths must contain lengths

- 562 • Test with a physical frame size smaller than 16 bytes. (Less than block of 16
563 bytes,)
- 564 • Test with a physical frame size equal to 16 bytes. (16 bytes,)
- 565 • Test with a physical frame where the size is between 17 and 31 bytes.
566 (Greater than block of 16 bytes but lesser than the second block,)
- 567 • Test with a physical frame size equal to the maximum which is 255 bytes
568 (Max payload length). For this test used data rate is important as the max
569 length depends on it.

571 The echo-replies from the **DUT** are verified to contain the same payload where
572 each byte is incremented by one. This test will only use echo commands whose
573 payload is less than or equal to the maximum allowed payload of the **DUT**'s current
574 uplink DR. Frame counter must increase for every received package. Oversized
575 payloads are tested separately in Section 2.5.11.d.

576

2.4.1.a.i.1. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x	
	The TCL sends Unconfirmed frames	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08 (Various) Note: See description for Various details	
3	DUT sends Unconfirmed frame FCntUp = n + 1 + i	→ R [3]	CP-CMD EchoPayloadAns (repeat i times- where i = 1 to 3) FPort = 224 Payload = [0x]08 (Various) No ACK is sent for the previous Unconfirmed frame	Multiple Echo replies sent
	The TCL sends Unconfirmed frames	← R [3]	CP-CMD EchoPayloadReq (repeat i times- where i = 1 to 3) FPort = 224 Payload = [0x]08 (Various)	
4	DUT sends Unconfirmed frames FCntUp = n + 5	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08 (Various)	Echo reply sent
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
5	DUT sends Unconfirmed frame FCntUp = n + 6	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 5	Downlink counter incremented

577

578

2.4.1.a.ii. Message Integrity Code

579

TCL will send packets with purposely invalid message integrity codes. The DUT must ignore these packets.

580

581

2.4.1.a.ii.1. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x	
	The TCL sends Unconfirmed frames	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08 (Various) MIC Invalid	
3	DUT sends Unconfirmed frame FCntUp = n + 1 + i	→ R [4]		MIC Invalid packets ignored
	The TCL sends Unconfirmed frames	← R [4]	CP-CMD EchoPayloadReq (repeat i times- where i = 1 to 4) FPort = 224 Payload = [0x]08 (Various) MIC Invalid	
4	DUT sends Unconfirmed frame FCntUp = n + 6	→		MIC Invalid packet ignored
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
5	DUT sends Unconfirmed frame FCntUp = n + 7	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 1	Downlink counter not incremented

582

583

2.4.1.b. Downlink Sequence Number

584 This test verifies the **DUT** properly handles the frame sequence numbers that are used to
585 prevent replay attacks on the communication.
586

587 **TCL** sends several packets with decreasing sequence number i such that:

588
$$1 \leq i < \text{Current } FCntDown$$

589 The **DUT** must ignore downlinks whose sequence numbers are less than its current internal
590 downlink counter value.

591

2.4.1.b.i. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x	
	The TCL sends Unconfirmed frame FCntDown = a	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = No change Payload = [0x]0700	
3	DUT sends Unconfirmed frame FCntUp = n + 1 + y <i>Repeat y times</i>	→ R [y]		
	The TCL sends Unconfirmed frame FCntDown = a - i (where i = [1, a-1]) <i>Repeat y times</i>	← R [y]	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = No change Payload = [0x]0700	
4	DUT sends Unconfirmed frame FCntUp = n + 2 + y	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
5	DUT sends Unconfirmed frame FCntUp = n + 3 + y	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 2	DUT ignores y downlinks with FCntDown < current downlink counter

592

593

594 2.4.2. Confirmed Frames

595 This test verifies that the **DUT** properly handles confirmed frames as both the sender (uplinks) and
596 receiver (downlinks).

597 2.4.2.a. Confirmed Uplinks

598 The **TCL** sends the [0x]0702 TxFramesCtrlReq command to the **DUT**. Verify all subsequent uplinks
599 from the **DUT** are frame type *ConfirmedUp*.

600 2.4.2.a.i. Test Procedure Frame Sequence Chart

601

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x	
	The TCL sends Unconfirmed frame	←	CP-CMD TxFramesCtrlReq FPort 224 Frame Type = Confirmed Payload [0x]0702	
3	DUT sends Confirmed frame FCntUp >= n + 2	→		Confirmed frame sent
	The TCL sends Unconfirmed frame	←	Acknowledge No FPort and no payload	
4	DUT sends Confirmed frame FCntUp >= n + 3	→		Confirmed frame sent
	The TCL sends Unconfirmed frame	←	Acknowledge CP-CMD TxFramesCtrlReq FPort = 224 Frame type = No change Payload [0x]0700	
5	DUT sends Confirmed frame FCntUp >= n + 4	→		Confirmed frame sent
	The TCL sends Unconfirmed frames	←	Acknowledge CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
6	DUT sends Confirmed frame FCntUp >= n + 5	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt >= x + 4	
7	DUT sends Confirmed frame FCntUp >= n + 6	→		DUT increments the FCntUp even when no ACK is sent by TCL
	The TCL sends Unconfirmed frame	←	Acknowledge CP-CMD TxFramesCtrlReq FPort 224 Frame type = Unconfirmed Payload [0x]0701	

8	DUT sends Unconfirmed frame FCntUp $\geq n + 7$	→		Switch back to Unconfirmed frame
---	--	---	--	----------------------------------

602

603 **2.4.2.b. Confirmed Downlinks**

604 **TCL** sends a *ConfirmedDown* packet. Verify the **DUT** sets the *ACK* bit in the subsequent uplink.

605 The test also verifies that when the **TCL** sends a retransmission with the same FCntDown, the

606 DUT ignores the downlink.

607 **2.4.2.b.i. Test Procedure Frame Sequence Chart**

608

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n			
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x	
	The TCL send Confirmed frame FCntDown = m	←	CP-CMD TxFramesCtrlReq FPort 224 Frame type = Confirmed Payload [0x]0702	TCL sends Confirmed frame
3	DUT sends Confirmed frame FCntUp = n + 2	→	ACK Bit = True Note: The DUT may split this frame into first an empty Unconfirmed frame with ACK, followed by a Confirmed frame. This must be accepted as well.	
	The TCL sends Confirmed frame FCntDown = m + 1	←	Acknowledge CP-CMD TxFramesCtrlReq FPort 224 Frame type = Unconfirmed Payload [0x]0701	TCL sends Confirmed frame
4	DUT sends Unconfirmed frame FCntUp = n + 3	→	ACK Bit = True	
	The TCL sends Confirmed frames FCntDown = m + 2	←	CP-CMD TxFramesCtrlReq FPort 224 Frame type = No change Payload [0x]0700	
5	DUT sends Unconfirmed frame FCntUp = n + 4	→	ACK Bit = True	
	The TCL sends Confirmed frames FCntDown = m + 2	←	CP-CMD TxFramesCtrlReq FPort 224 Frame type = No change Payload [0x]0700	
6	DUT sends Unconfirmed frame FCntUp = n + 5	→	No acknowledgement is sent	DUT ignores the downlink with incorrect frame counter
	The TCL sends Unconfirmed frames FCntDown = m + 3	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
7	DUT sends Unconfirmed frame FCntUp = n + 6	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 4	



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609

610 **2.5. MAC Command Tests**

611 The following tests will validate the **DUT**'s implementation of MAC command processing and the
612 associated functional areas being controlled by the **TCL**. As previously stated, the **TCL** should allow for
613 some reasonable amount of packet loss while facilitating tests. Specifically, where MAC commands are
614 concerned, it is acceptable to retry commanding the device in the absence of a MAC command answer.
615 Retries should be limited to a maximum of 5 attempts. After 5 uplinks are received from the **DUT**, if
616 the **TCL** still does not get the expected response from the **DUT**, the test must fail, and the tool must
617 move to the next test.

618 **2.5.1. DevStatusReq**

619 **TCL** sends a *DevStatusReq* command to the **DUT**.

620

621 Verify that

- 622 • The **DUT** must reply with a *DevStatusAns* packet.
- 623 • The signal-to-noise information in the *RadioStatus* field in the reply is a signed integer
624 of 6 bits with a minimum value of -32 and maximum value of 31.

625 2.5.1.a. **Test Procedure Frame Sequence Chart**

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD DevStatusReq Payload [0x]06	
2	DUT sends Unconfirmed frame	→	MAC-CMD DevStatusAns RadioStatus >= - 32 and <= 31 Payload [0x]06XXXX	DevStatusAns sent and encoded value tested

626

627 **2.5.2. NewChannelReq**

628 2.5.2.a. **Fixed Channel plan devices**

629 For Fixed channel plan devices, the *NewChannelReq* MAC command must be rejected
630 and the **DUT** must silently drop the request packet. The **DUT** must continue normal
631 operation.

632

2.5.2.a.i. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD NewChannelReq ChIndex = 4 Freq = any applicable frequency, refer [2] Payload = [0x]07XXXXXXXXXX	
2	DUT sends Unconfirmed frame	→		No response to the command but DUT continues normal operation

633

2.5.2.b. For Dynamic Channel plan devices only

634

TCL sends a *NewChannelReq* command to the **DUT** for configuring new channel frequencies.

635

NewChannelReq command is supported for only Dynamic channel plan devices.

636

For Fixed channel plan devices, DUT must silently drop the request packet.

637

638

2.5.2.b.i. Read-only default channels

639

The mandatory default channels are defined in [2]. The **TCL** will send *NewChannelReq* that tries to modify these channels. The **DUT** must reply with an unsuccessful *NewChannelAns* NOT = ([0x]0703).

640

641

642

2.5.2.b.ii. Addition of a channel

643

TCL sends a MAC command to add a single new channel.

644

The **DUT** must reply with a successful *NewChannelAns* and begin using the new channel in its random selection of frequencies. This test may use any frequency applicable for that region [2].

645

646

647

2.5.2.b.iii. Removal of a channel

648

TCL sends *NewChannelReq* to set the previously configured channel to 0 MHz frequency.

649

650

The **DUT** must reply with a successful *NewChannelAns* and stop using the additional channel in its uplink transmissions.

651

652

2.5.2.b.iv. Addition and removal of multiple channels

653

TCL sends multiple MAC commands in a single frame to configure the additional channels. Multiple such frames may be sent to configure all the additional non-default channels and to remove them.

654

655

656 The **DUT** must reply with a successful *NewChannelAns* to each request. The DUT must
657 send an uplink on each channel configured.

658 **2.5.2.b.v. Invalid command processing - Frequency**

659 **TCL** sends *NewChannelReq* command including an invalid frequency located out of the
660 band to check that the **DUT** replies correctly.

661 **2.5.2.b.vi. Invalid command processing – Data Rate Range**

662 **TCL** sends *NewChannelReq* command including an invalid data rate range to check that
663 the **DUT** replies correctly.

664 **2.5.2.b.vii. Removal of default channels – not allowed**

665 **TCL** tries to remove the default channels. **DUT** must reject the command and must
666 continue to use the default channels.

667

668 **2.5.2.b.viii. Test Procedure Frame Sequence Chart**

669

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		TCL attempts to modify default channels
	The TCL sends Unconfirmed frame	←	MAC-CMD NewChannelReq ChIndex = 0 Freq = Any allowed frequency for the channel, refer [2] DRRange = Any valid range, refer [2] Payload = [0x]07XXXXXXXXXX	
2	DUT sends Unconfirmed frame	→ R [All default Ch]	MAC-CMD NewChannelAns Payload NOT = [0x]0703	
	The TCL sends Unconfirmed frame	← R [All default Ch]	MAC-CMD NewChannelReq ChIndex = All other default channels, refer [2] Freq = Any allowed frequency for that channel, refer [2] DRRange = Any valid range, refer [2] Payload = [0x]07XXXXXXXXXX	
3	DUT sends Unconfirmed frame	→	MAC-CMD NewChannelAns Payload NOT = [0x]0703	DUT shall not change its channel plan or transmission behaviour
	The TCL sends Unconfirmed frame	←	FPort = 0 MAC-CMD NewChannelReq ChIndex = 15 Freq = any applicable frequency, refer [2] Payload = [0x]07XXXXXXXXXX	
4	DUT sends Unconfirmed frame	→	MAC-CMD NewChannelAns Payload = [0x]0703	
5	Wait for a maximum of (5 * number of channels configured) uplink packets, i.e. until the channel configured is used at least once	→ R [5*NbCh] or [AllCh used]	The new channel configured must be used at least once	New channel added
6	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD NewChannelReq ChIndex = 15 Freq = 0 MHz Payload = [0x]07XXXXXXXXXX	
7	DUT sends Unconfirmed frame	→	MAC-CMD NewChannelAns Payload = [0x]0703	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
8	Wait for 5 * number of channels configured uplink packets, to confirm that the removed channel is not used.	→ R [5*NbCh]	The channel removed must not be used	
9	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	<p>FPort = 0</p> <p>MAC-CMD NewChannelReq</p> <p>ChIndex = all non-default channel indexes, refer [2]</p> <p>Freq = any frequency applicable for that region, refer [2]. Each channel must have a different frequency, as supported by the gateway.</p> <p>Payload = [0x]07XXXXXXXXXX[0x]07XXXXXXXXXX... [repeat [0x]07XXXXXXXXXX up to (16 - NbDefaultChannels)]</p> <p>Note1: This downlink may be split into multiple downlinks so that the maximum FRMPayload is not exceeded</p> <p>Note2: When performing pre-testing using an 8-channel gateway for DC plan devices, the Freq field must be set to 8 different allowed frequencies for that region as supported by the gateway for the first 8 channels. The same set of 8 frequencies must be repeated for the next set of 8 channels as well.</p>	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
10	DUT sends Unconfirmed frame	→	FPort = 0 MAC-CMD NewChannelAns Payload = [0x]0703[0x]0703[0x]0703... [Repeat [0x]0703 "Y" times] – where Y is the number of channels configured Note: This uplink may be split into multiple uplinks so that the maximum FRMPayload is not exceeded	16 channels (default + additional channels) configured
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq ChMaskCntl = 0 ChMask = [0x]F000 Payload = [0x]03XXXXXXXX	
11	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	Enable channel IDs 12-15
12	Wait for 5 * 4 (number of channels enabled) = 20 uplink packets to be sent	→ R [5*NbCh]	Only the 4 enabled channels must be used at least once, and the disabled channels must not be used	Checking channel usage
13	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq ChMaskCntl = 0 ChMask = [0x]0F00 Payload = [0x]03XXXXXXXX	
14	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	Enable channel IDs 8-11
15	Wait for 5 * 4 (number of channels enabled) = 20 uplink packets to be sent	→ R [5*NbCh]	Only the 4 enabled channels must be used at least once, and the disabled channels must not be used	Checking channel usage
16	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq ChMaskCntl = 0 ChMask = [0x]00F0 Payload = [0x]03XXXXXXXX	
17	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	Enable channel IDs 4-7
18	Wait for 5 * 4 (number of channels enabled) = 20 uplink packets to be sent	→ R [5*NbCh]	Only the 4 enabled channels must be used at least once, and the disabled channels must not be used	Checking channel usage
19	DUT sends Unconfirmed frame	→		

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq ChMaskCntl = 0 ChMask = [0x]000F Payload = [0x]03XXXXXXXX	
20	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	Enable channel IDs 0-3
21	Wait for 5 * 4 (number of channels enabled) = 20 uplink packets to be sent	→ R [5*NbCh]	Only the 4 enabled channels must be used at least once, and the disabled channels must not be used	Checking channel usage
22	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	FPort = 0 MAC-CMD NewChannelReq ChIndex = all non-default channel indexes, refer [2] Freq = 0 MHz Payload = [0x]07XXXXXXXXXX[0x]07XXXXXXXXXX... [repeat [0x]07XXXXXXXXXX for all channels removed]	
23	DUT sends Unconfirmed frame	→	FPort = 0 MAC-CMD NewChannelAns Payload = [0x]0703[0x]0703[0x]0703... [Repeat [0x]0703 "Y" times] – where Y is the number of channels removed	All additional Channels removed
24	Wait for 5 * (default number of channels) uplink packets to be sent	→ R [5*NbDefCh]	The additional channels which were removed must not be used and only the default channels must be used	Checking channel usage
25	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	CMD NewChannelReq ChIndex = Any channel index other than the default, refer [2] Freq = Any invalid Freq, refer [2] Payload = [0x]07XXXXXXXXXX	
26	DUT sends Unconfirmed frame	→	CMD NewChannelAns Payload NOT = [0x]0703	DUT shall not modify its frequency or transmission behaviour

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame	←	MAC-CMD NewChannelReq ChIndex = Any channel index other than the default, refer [2] Freq = Default, refer [2] DRRange = An invalid data range, refer [2] Payload = [0x]07XXXXXXXX	
27	DUT sends Unconfirmed frame	→	MAC-CMD NewChannelAns Payload is NOT = [0x]0703	DUT shall not add the channel due to invalid Data Range
	The TCL sends Unconfirmed frame	←	MAC-CMD NewChannelReq ChIndex = All default channels, refer [2] Freq = 0 MHz Payload = [0x]07XXXXXXXX[Repeat [0x]07XXXXXXXX "Y" times] – where Y is the number of default channels	
28	DUT sends Unconfirmed frame	→	MAC-CMD NewChannelAns Payload NOT = [0x]0703[Repeat "Y" times] – where Y is the number of default channels	Default channels not affected
29	Wait for 5 * (number of channels configured) uplink packets to be sent	→ R [5*NbDefCh]	Only the default channels must be used at least once	Checking channel usage

670

671 2.5.3. DChannelReq

672 2.5.3.a. Fixed Channel plan devices

673 For Fixed channel plan devices, the **DUT** must silently drop the DChannelReq MAC
674 command request. The **DUT** must continue normal operation.

675

2.5.3.a.i. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD DChannelReq ChIndex = Any default channel, refer [2] Freq = Any frequency other than default freq, refer [2] Payload = [0x]0AXXXXXXXXXX	
2	DUT sends Unconfirmed frame	→		No response to the command but DUT continues normal operation

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2.5.3.b. Dynamic Channel plan devices

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The **TCL** sends a MAC command to change the downlink frequency in RX1 for an existing channel. The **TCL** tests RX1 downlink window using the new frequency and then restores the default values. Retransmission is tested, as well. The **TCL** waits for an uplink, while the MAC answer should be [0x]0A03. Then the **TCL** sends a downlink and waits for the next uplink, while the MAC answer should not contain [0x]0A03. Finally, standard settings are applied and tested again. Additionally, the **TCL** sends *DChannelReq* commands including invalid frequency and channel values to check that the **DUT** replies appropriately.

686

2.5.3.b.i. Test Procedure Frame Sequence Chart

687

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame on RX1 window	←	MAC-CMD DIChannelReq ChIndex = C (where C = Any default channel, refer [2]) Freq = X (where X = any allowed frequency other than the default frequency, refer [2]) Payload = [0x]0AXXXXXXXXX	
2	DUT sends Unconfirmed frame <i>Repeat up to 3 times until a downlink is received confirming the receipt of the DIChannelAns</i>	→ R [max 3]	MAC-CMD DIChannelAns Payload = [0x]0A03	
3	DUT sends Unconfirmed frame	→	MAC-CMD DIChannelAns Payload = [0x]0A03	
	The TCL must send an Unconfirmed frame on RX1 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]080A0B0C ChIndex = Any one of the default channels, refer [2] Freq = The frequency set	Tests downlink frequency settings for the default channel
4	DUT sends Unconfirmed frame Repeat for a maximum of (5 * number of default channels) until the DUT sends an uplink on all default channels	→ R [5*NbDefCh] OR [All default channels]	If the EchoPayloadReq was sent in the previous downlink, CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]080B0C0D	
	If the DUT sends an uplink on another default channel, then the TCL sends Unconfirmed frame on RX1 window Repeat for all default channels	← R [All default channels]	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]080A0B0C ChIndex = Each of the remaining default channels, refer [2] Freq = The frequency set	Tests downlink frequency settings for all default channels
5	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]080B0C0D	Tests downlink frequency settings for the last default channel

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	TCL sends Unconfirmed frame	←	MAC-CMD DIChannelReq ChIndex = C Freq = default frequency, refer [2] Payload = [0x]0AXXXXXXXXXX	
6	DUT sends Unconfirmed frame <i>Repeat up to 3 times until a downlink is received confirming the receipt of the DIChannelAns</i>	→ R [max 3]	MAC-CMD DIChannelAns Payload = [0x]0A03	DUT returns to its default settings
7	DUT sends Unconfirmed frame	→	MAC-CMD DIChannelAns Payload = [0x]0A03	
	The TCL must send an Unconfirmed frame on RX1 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]080A0B0C ChIndex = Any one of the default channels, refer [2] Freq = Default frequency	Tests default frequency settings for the default channel
8	DUT sends Unconfirmed frame Repeat for a maximum of (5 * number of default channels) until the DUT sends an uplink on all default channels	→ R [5*NbDefCh] OR [All default channels]	If the EchoPayloadReq was sent in the previous downlink, CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]080B0C0D	
	If the DUT sends an uplink on another default channel, then the TCL sends Unconfirmed frame on RX1 window Repeat for all default channels	← R [All default channels]	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]080A0B0C ChIndex = Each of the remaining default channels, refer [2] Freq = Default frequency	Tests default frequency settings for all default channels
9	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]080B0C0D	Tests default frequency settings for the last default channel
	The TCL sends Unconfirmed frame	←	MAC-CMD DIChannelReq ChIndex = C Freq = Any invalid frequency, refer [2] Payload = [0x]0AXXXXXXXXXX	
10	DUT sends Unconfirmed frame <i>Repeat up to 3 times until a downlink is received confirming the receipt of the DIChannelAns</i>	→ R [max 3]	MAC-CMD DIChannelAns Payload is NOT = [0x]0A03	Unsuccessful for invalid frequency

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
11	DUT sends Unconfirmed frame	→	MAC-CMD DIChannelAns Payload is NOT = [0x]0A03	
	The TCL must wait until the DUT sends an uplink on the same channel configured in Step 4 and then sends Unconfirmed frame	←	MAC-CMD DIChannelReq ChIndex = Any channel not configured, refer [2] Freq = default frequency Payload = [0x]0AXXXXXXXXX	
12	DUT sends Unconfirmed frame <i>Repeat up to 3 times until a downlink is received confirming the receipt of the DIChannelAns</i>	→ R [max 3]	MAC-CMD DIChannelAns Payload is NOT = [0x]0A03	Unsuccessful for channel not configured
13	DUT sends Unconfirmed frame	→	MAC-CMD DIChannelAns Payload is NOT = [0x]0A03	
	The TCL sends Unconfirmed frame	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = No change Payload = [0x]0700	
14	DUT sends Unconfirmed frame	→		

688

689 2.5.4. RXParamSetupReq

690 The **TCL** sends a MAC command to configure new *RX1DRoffset*, *RX2DataRate* and *Frequency*. The **DUT**
691 must include a successful *RXParamSetupAns* in all subsequent uplinks until a downlink is received. The
692 **TCL** will wait for more than one uplink containing the affirmative *RXParamSetupAns* of [0x]0507. The
693 **TCL** then sends a downlink and verifies the next uplink does not contain the *RXParamSetupAns*
694 [0x]0507. Both the RX1 and RX2 downlink windows are then tested using the new parameters. Finally,
695 default settings are restored by way of MAC command and both RX windows tested again.

696 The **TCL** sends a MAC command with invalid *RX2DRoffset*, *RX2DataRate* and *Frequency* that is not
697 supported. Verify that the **DUT** ignores the command and the previous parameters are kept.

698 2.5.4.a. Test Procedure Frame Sequence Chart

699

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = y + 1	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 1 Payload = [0x]03XXXXXXXX	
2	DUT sends Unconfirmed frame FCntUp = y + 2	→	MAC-CMD LinkADRAns DataRate = X Payload = [0x]0307	DataRate set to value configured
	The TCL sends Unconfirmed frame	←	MAC-CMD RxParamSetupReq RX1DRoffset = any allowed offset value, refer [2] RX2DataRate = Any DataRate allowed except the one set in Step 2 Frequency = Y (where Y = any frequency allowed, refer [2]) Payload = [0x]05XXXXXXXX	
3	DUT sends Unconfirmed frame <i>Repeat up to 3 times until a downlink is received confirming the receipt of the RxParamSetupAns</i> FCntUp >= y + 3	→ R [max 3]	MAC-CMD RxParamSetupAns Payload = [0x]0507	
4	DUT sends Unconfirmed frame	→	MAC-CMD RxParamSetupAns Payload = [0x]0507	
	The TCL sends Unconfirmed frame on RX1 window	←	CP-CMD EchoPayloadReq FPort = 224 DR = X - RX1DRoffset Payload = [0x]08010203	
5	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08020304	Tested for new parameters on RX1
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08121314 Freq = Y DataRate = RX2DataRate as set	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
6	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08131415	Tested for new parameters on RX2
	The TCL sends Unconfirmed frame	←	MAC-CMD RxParamSetupReq RX1DRoffset = 0 RX2DataRate = Default Frequency = Default Payload = [0x]05XXXXXXXX The default values are defined in [2]	
7	DUT sends Unconfirmed frame	→	MAC-CMD RxParamSetupAns Payload = [0x]0507	Restored to default settings
	The TCL sends Unconfirmed frame on RX1 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08010203	
8	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 RX1DRoffset = 0 Payload = [0x]08020304	Default Rx1 Params verified
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD EchoPayloadReq RX2DataRate = Default Frequency = Default FPort = 224 Payload = [0x]08121314	
9	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08131415	Default Rx2 Params verified
	The TCL sends Unconfirmed frame	←	MAC-CMD RxParamSetupReq RX1DRoffset = Invalid, refer [2] RX2DataRate = default Frequency = default Payload = [0x]05XXXXXXXX	Invalid RX1DRoffset
10	DUT sends Unconfirmed frame	→	MAC-CMD RxParamSetupAns Payload is NOT = [0x]0507	DUT confirms that the parameters were not set
	The TCL sends Unconfirmed frame	←	MAC-CMD RxParamSetupReq RX2DataRate = Invalid, refer [2] RX1DRoffset = default Frequency = default Payload = [0x]05XXXXXXXX	Invalid RX2DataRate

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
11	DUT sends Unconfirmed frame	→	MAC-CMD RxParamSetupAns Payload is NOT = [0x]0507	DUT confirms that the parameters were not set
	The TCL sends Unconfirmed frame	←	MAC-CMD RxParamSetupReq RX1DROffset = default RX2DataRate = default Frequency = Invalid, refer [2] Payload = [0x]05XXXXXXXX	Invalid Freq
12	DUT sends Unconfirmed frame	→	MAC-CMD RxParamSetupAns Payload is NOT = [0x]0507	DUT confirms that the parameters were not set
	The TCL sends Unconfirmed frame on RX1 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08010203	
13	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08020304	Default Rx1 Params verified
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08121314	
14	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08131415	Default Rx2 Params verified

700

701 2.5.5. RXTimingSetupReq

702 The **TCL** sends a MAC command to change the timing of the reception windows. The **DUT** must reply
703 with [0x]08 (and no *RXTimingSetupAns* payload). The **TCL** tests RX1 and RX2 downlink windows using
704 the new parameters.

705 Additionally, retransmission is tested. The **TCL** sends a MAC command to change the timing of the
706 reception windows. The **TCL** waits for an uplink with *RXTimingSetupAns* [0x]08. The **TCL** does not send
707 a downlink and instead waits for subsequent uplinks that must contain the *RXTimingSetupAns*. Once
708 satisfied, the **TCL** sends a downlink and waits for the next uplink to ensure it does not contain the
709 *RXTimingSetupAns* [0x]08. Finally, standard settings are applied and tested again with Echo command.

710 2.5.5.a. Test Procedure Frame Sequence Chart

711

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = y	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD RxTimingSetupReq Payload = [0x]08XX Delay (i) = [3-14]	
2	DUT sends Unconfirmed frame <i>Repeat up to 3 times until a downlink is received confirming the receipt of the RxTimingSetupAns</i> FCntUp >= y + n	→ R [max 3]	MAC-CMD RxTimingSetupAns Payload = [0x]08	
3	DUT sends Unconfirmed frame	→	MAC-CMD RxTimingSetupAns Payload = [0x]08	
	The TCL sends Unconfirmed frame on RX1 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08010203 TXDelay = (i) seconds	
4	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08020304	Tested for new parameters on RX1
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08121314 TXDelay = (i + 1) seconds	
5	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08131415	Tested for new parameters on RX2
	The TCL sends Unconfirmed frame	←	MAC-CMD RxTimingSetupReq Payload = [0x]08XX Delay = 2	Setting Delay = 2
6	DUT sends Unconfirmed frame <i>Repeat up to 3 times until a downlink is received confirming the receipt of the RxTimingSetupAns</i>	→ R [max 3]	MAC-CMD RxTimingSetupAns Payload = [0x]08	
7	DUT sends Unconfirmed frame	→	MAC-CMD RxTimingSetupAns Payload = [0x]08	
	The TCL sends Unconfirmed frame on RX1 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08010203 TXDelay = 2 sec	

8	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08020304	Tested for delay settings on RX1
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08121314 TXDelay = 3 seconds	
9	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08131415	Tested for delay settings on RX2
	The TCL sends Unconfirmed frame	←	MAC-CMD RxTimingSetupReq Payload = [0x]08XX Delay = 15	Setting Delay = 15
10	DUT sends Unconfirmed frame <i>Repeat up to 3 times until a downlink is received confirming the receipt of the RxTimingSetupAns</i>	→ R [max 3]	MAC-CMD RxTimingSetupAns Payload = [0x]08	
11	DUT sends Unconfirmed frame	→	MAC-CMD RxTimingSetupAns Payload = [0x]08	
	The TCL sends Unconfirmed frame on RX1 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08010203 TXDelay = 15 sec	
12	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08020304	Tested for delay settings on RX1
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08121314 TXDelay = 16 seconds	
13	DUT sends Unconfirmed frame	→ R	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08131415	Tested for delay settings on RX2
	The TCL sends Unconfirmed frame	←	MAC-CMD RxTimingSetupReq Payload = [0x]08XX Delay = 0	Setting Delay to default = 0
14	DUT sends Unconfirmed frame <i>Repeat up to 3 times until a downlink is received confirming the receipt of the RxTimingSetupAns</i>	→ R [max 3]	MAC-CMD RxTimingSetupAns Payload = [0x]08	
15	DUT sends Unconfirmed frame	→	MAC-CMD RxTimingSetupAns Payload = [0x]08	

	The TCL sends Unconfirmed frame on RX1 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08010203 TXDelay = 1 sec	
16	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08020304	Tested for delay settings on RX1
17	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload = [0x]08121314 TXDelay = 2 seconds	
18	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload = [0x]08131415	Tested for delay settings on RX2

712

713 2.5.6. TXParamSetupReq MAC command

714 Refer to [2] to obtain the list of regions for which the TXParamSetupReq command is applicable. If the
715 TXParamSetupReq command is not applicable for the region being tested, the **DUT** must continue
716 normal operation after receiving the TXParamSetupReq command hence ignoring the command.

717 2.5.6.a. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD TXParamSetupReq Payload = [0x]09XX UplinkDwellTime = 0	
2	DUT sends Unconfirmed frame	→		No response to the command but DUT continues normal operation

718

719 If the TXParamSetupReq command is applicable for the region being tested, the following tests must
720 be performed.

- 721 1. No dwell time setting: The **TCL** sends *LinkADRReq* to set the **DUT** to each valid DR which is not in
722 the Join-Request Data Rate range, refer [2]. **DUT** must reply with an unsuccessful *LinkADRAns* and
723 the **TCL** verifies that no packet is received using this data rate.
- 724 2. *UplinkDwellTime* set to 0 (unlimited): The **TCL** sends a *TXParamSetupReq* to set *UplinkDwellTime*
725 to 0 (unlimited) and the **DUT** must reply with a *TXParamSetupAns*. Then, the **TCL** sends *LinkADRReq*
726 to set the **DUT** to the Minimum Data Rate up to the Maximum Data Rate using 125kHz, refer [2].

- 727 The **DUT** must reply to each request with a successful *LinkADRAns*. The **TCL** verifies that the uplink
728 data rate is as requested.
- 729 3. *UplinkDwellTime* set to 1 (400ms): The **TCL** sends a *TXParamSetupReq* to set *UplinkDwellTime* to 1
730 (400ms) and the **DUT** must reply with a *TXParamSetupAns*. Then, the **TCL** sends *LinkADRReq* to set
731 the **DUT** to each DR which is in the invalid Data Rate range, refer [2]. The **DUT** must reply with an
732 unsuccessful *LinkADRAns* and the server verifies that no packet is received using this data rate. For
733 the data rates in the Join-Request Data Range, the **DUT** must reply to each request with a successful
734 *LinkADRAns*. The **TCL** verifies that the uplink data rate is as requested.
- 735 4. MaxEIRP setting:
- 736 a. Set *MaxEIRP = Highest*: Uplink signal level is monitored on the gateway side (1).
737 b. Set *MaxEIRP = Lowest*: Uplink signal level is monitored on the gateway side (2).
738 c. Check that (1) – (2) is greater than 6 dB
- 739 **2.5.6.b. Test Procedure Frame Sequence Chart- if TXParamSetupReq command is**
740 **applicable for the region tested**
741

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = The first DR which is not in the Join-Request Data Rate range, refer [2] Payload = [0x]03XXXXXXXX	
2	DUT sends Unconfirmed frame	→ R [All DR not in JR range]	MAC-CMD LinkADRAns Payload NOT = [0x]0307	
	The TCL sends Unconfirmed frame	← R [All DR not in JR range]	MAC-CMD LinkADRReq DataRate = All other DR which are not in the Join-Request Data Rate range, refer [2] Payload = [0x]03XXXXXXXX	
3	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload NOT = [0x]0307 Note: This response is for the last LinkADRReq with DR not in the JR range	LinkADRAns Status = Unsuccessful
	The TCL sends Unconfirmed frame	←	MAC-CMD TXParamSetupReq UplinkDwellTime = 0 Payload = [0x]09XX	
4	DUT sends Unconfirmed frame	→	MAC-CMD TXParamSetupAns Payload = [0x]09	Uplink Dwell Time set to 0
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = MinDR, refer [2] Payload = [0x]03XXXXXXXX	
5	DUT sends Unconfirmed frame	→ R [All DR < Max125kHz DR]	MAC-CMD LinkADRAns Payload = [0x]0307 DataRate = X	DataRate set as required
	The TCL sends Unconfirmed frame Repeat this step for each DR up to the maximum DR	← R [All DR up to Max125kHz DR]	MAC-CMD LinkADRReq DataRate = Each other allowed DR up to Max125kHzDR, refer [2] Payload = [0x]03XXXXXXXX	
6	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307 DataRate = Max125kHzDR, refer [2] Note: This response is for the last LinkADRReq with Max125kHzDR.	DataRate set as required
<i>Testing UplinkDwellTime = 1</i>				
7	DUT sends Unconfirmed frame	→		

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame	←	MAC-CMD TXParamSetupReq UplinkDwellTime = 1 (400ms) Payload = [0x]09XX	
8	DUT sends Unconfirmed frame	→	MAC-CMD TXParamSetupAns Payload = [0x]09	Uplink Dwell Time set to 1 (400ms)
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRRReq DataRate = The first DR in the invalid Data Rate range, refer [2] Payload = [0x]03XXXXXXXX	
9	DUT sends Unconfirmed frame	→ R [All invalid data rate range]	MAC-CMD LinkADRAns Payload NOT = [0x]0307	
	The TCL sends Unconfirmed frame	← R [All invalid data rate range]	MAC-CMD LinkADRRReq DataRate = Each other DR which is in the invalid Data Rate range, refer [2] Payload = [0x]03XXXXXXXX	
10	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload NOT = [0x]0307	LinkADRAns Status = Unsuccessful
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRRReq Data Rate = The first DR in the Join-Request Data Rate range, refer [2] Payload = [0x]03XXXXXXXX	
11	DUT sends Unconfirmed frame	→ R [All DR in the JR range]	MAC-CMD LinkADRAns Payload = [0x]0307 DataRate = Y	
	The TCL sends Unconfirmed frame Repeat this step until DataRate reaches the maximum data rate in the Join-Request DataRange, refer [2]	← R [All DR in JR range]	MAC-CMD LinkADRRReq Data Rate = Y (where Y = Each other DR in the Join-Request Data Rate range, refer [2]) Payload = [0x]03XXXXXXXX	
12	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307 DataRate = Y	DataRate set to Y
<i>MaxEIRP testing</i>				
13	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD TXParamSetupReq Max EIRP = Highest, refer [2] Payload = [0x]09XX	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
14	DUT sends Unconfirmed frame	→	MAC-CMD TXParamSetupAns Payload = [0x]09	Max EIRP set to max value
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] Payload = [0x]03XXXXXXXX	
15	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	TXPower set to max value
16	DUT sends Unconfirmed frame	→	Get RSSI value	
17	DUT sends Unconfirmed frame	→	Get RSSI value	
18	DUT sends Unconfirmed frame	→	Get RSSI value X = Avg of last 3 RSSI value	RSSI value avg checked
	The TCL sends Unconfirmed frame	←	MAC-CMD TXParamSetupReq Max EIRP = Lowest, refer [2] Payload = [0x]09XX	
19	DUT sends Unconfirmed frame	→	MAC-CMD TXParamSetupAns Payload = [0x]09	Max EIRP set to min value
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] Payload = [0x]03XXXXXXXX	
20	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	TXPower set to max value
21	DUT sends Unconfirmed frame	→	Get RSSI value	
22	DUT sends Unconfirmed frame	→	Get RSSI value	
23	DUT sends Unconfirmed frame	→	Get RSSI value Y = Avg of last 3 RSSI value Confirm: $X - Y > 6$ dB	RSSI value avg checked Difference of RSSI values checked
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] MAC-CMD TXParamSetupReq Max EIRP = Highest, refer [2] UplinkDwellTime = default, refer [2] Payload = [0x]03XXXXXXXX [0x]09XX	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
24	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD TXParamSetupAns Payload = [0x]0307[0x]09	DUT reverted to default settings

742

743 2.5.7. LinkCheckReq tests

744 After the **TCL** triggers a LinkCheckReq, the **DUT** must send a LinkCheckReq frame. The **TCL** responds
745 with a LinkCheckAns frame.

746

747 Verify

- 748 • After receiving the LinkCheckAns, the **DUT** continues normal operation and sends uplinks.

749

750 2.5.7.a. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD LinkCheckReq FPort 224 Payload [0x]20	
2	DUT sends Unconfirmed frame	→	MAC-CMD LinkCheckReq Payload = [0x]02	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkCheckAns Payload = [0x]02XXXX	
3	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD EchoPayloadReq FPort 224 Payload [0x]08010203	
4	DUT sends Unconfirmed frame	→	CP-CMD EchoPayloadAns FPort = 224 Payload [0x]08020304	DUT responds normally after LinkCheck

751

752 2.5.8. LinkADRReq

753 The following tests validate each aspect of the *LinkADRReq* command.

754

755 2.5.8.a. TXPower

756 This test validates the **DUT** handles the TXPower indicated in the *LinkADRReq* command as an
757 upper-limit (i.e. allowed maximum).

758 The **TCL** separately tests *LinkADRRReq* commands with TXPower within and outside the range
759 specified in [2]. As part of testing values within the valid range, the **TCL** will command the device
760 to its minimum and maximum allowable TX power levels. TCL also checks for a TXPower value
761 of [0x]F.

762 Verify

- 763 • When commanded to a valid TX power level lower than it is capable of, the **DUT**
764 responds with an unsuccessful LinkADRAns and operates at its previously configured
765 TX power
- 766 • When commanded to a valid TX power level greater than it is capable of, the **DUT**
767 responds with a successful LinkADRAns and operates at its maximum TX power
- 768 • The **DUT** responds with a successful *LinkADRAns* for those commands whose TXPower
769 is within the specified range. The **TCL** will monitor the RSSI reported by the gateway
770 and verify a minimum difference of at least 6 dB between these settings.
- 771 • Commands whose TX power is outside the range or [0x]F, the **DUT** responds with an
772 unsuccessful *LinkADRAns* and keep the current parameter values.

773

774 **Note:** The *ChMaskCntl* and *ChMask* for Dynamic channel (DC) and Fixed channel (FC) plan devices are
775 different. The difference is specified in the Sequence charts below.

776 2.5.8.a.i. Test Procedure Frame Sequence Chart

777

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRRReq TXPower = Minimum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 1 Payload = [0x]03XXXXXXXX	
2	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns If minimum TXPower the DUT is capable of is greater than the minimum allowed TXPower as refer [2] , then PowerACK = false Payload NOT = [0x]0307 and DUT retains its previous TXPower Else, PowerACK = true Payload = [0x]0307	Command is rejected if minimum TXPower the DUT is capable of is greater than the minimum allowed TXPower else the command is accepted
3	If in Step 2, the minimum TXPower the DUT is capable of is greater than the minimum allowed TXPower, then perform Steps 3 and 4. Else, skip to the step 5. DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRRReq TXPower = Minimum supported by DUT, as mentioned in the Certification Questionnaire. DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF (for FC) NbTrans = 1 Payload = [0x]03XXXXXXXX	
4	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns PowerACK = true Payload = [0x]0307	
5	DUT sends Unconfirmed frame	→	Get the RSSI value	
6	DUT sends Unconfirmed frame	→	Get the RSSI value	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
7	DUT sends Unconfirmed frame	→	Get the RSSI value X = Avg of last 3 RSSI value	Avg RSSI checked
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR for the region, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 1 Payload = [0x]03XXXXXXXX	
8	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns PowerACK = true DataRateACK = true ChannelMaskACK = true Payload = [0x]0307	Command accepted
9	DUT sends Unconfirmed frame	→	Get the RSSI value	
10	DUT sends Unconfirmed frame	→	Get the RSSI value	
11	DUT sends Unconfirmed frame	→	Get the RSSI value Y = Avg of last 3 RSSI value Confirm: X – Y > 6dB	RSSI value avg checked Difference of RSSI values checked
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq TXPower = RFU, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 1 Payload = [0x]03XXXXXXXX Note: For US902-928, this step must be skipped as the TXPower cannot be set to RFU	
12	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload NOT = [0x]0307 Note: For US902-928, this step must be skipped as the TXPower cannot be set to RFU	Command rejected

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq TXPower = [0x]F DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 1 Payload = [0x]03XXXXXXXX	
13	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns PowerACK = true DataRateACK = true ChannelMaskACK = true Payload = [0x]0307	Command accepted
14	DUT sends Unconfirmed frame	→	Get the RSSI value	
15	DUT sends Unconfirmed frame	→	Get the RSSI value	
16	DUT sends Unconfirmed frame	→	Get the RSSI value Z = Avg of last 3 RSSI value Confirm: $Y = Z \pm 3\text{dB}$ There must be no change to the TXPower and it must be the same as set earlier.	RSSI value avg checked No change to TXPower
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 1 Payload = [0x]03XXXXXXXX	
17	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings

778 **2.5.8.b. Uplink Channel Management**

779 **2.5.8.b.i. For Dynamic channel plan devices -**

780 This section is applicable for only Dynamic channel plan devices.

781 **2.5.8.b.i.1. Unsupported data rates**

782 The **TCL** sends MAC commands to change the **DUT** to an unsupported data rate, refer
783 [\[2\]](#).

784 The **DUT** must reply with an unsuccessful *LinkADRAns* and the uplink data rate must
785 not change.

786 Verify

- 787
- The **DUT** replies to each valid request with an unsuccessful *LinkADRAns*.
 - The uplink data rate does not change.
- 788

789

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum DataRate = Unsupported data rate, refer [2] ChMaskCntl = 0 ChMask - Enable only the default channels, refer [2] NbTrans = 1 Payload = [0x]03XXXXXXXX	
2	DUT sends Unconfirmed frame FCntUp = n	→ R	MAC-CMD LinkADRAns Payload NOT = [0x]0307	Request rejected
	The TCL sends Unconfirmed frame	← R [All optional data rates]	MAC-CMD LinkADRReq TXPower = Maximum DataRate = An optional data rate except default data rate, refer [2] ChMaskCntl = 0 ChMask - Enable only the default channels, refer [2] NbTrans = 1 Payload = [0x]03XXXXXXXX Note: Repeat for all optional data rates.	
3	DUT sends Unconfirmed frame FCntUp = n + 1	→	MAC-CMD LinkADRAns Payload NOT = [0x]0307	Request rejected
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum DataRate = [0x]F ChMaskCntl = 0 ChMask - Enable only the default channels, refer [2] NbTrans = 1 Payload = [0x]03XXXXXXXX	
4	DUT sends Unconfirmed frame FCntUp = n + 2	→	MAC-CMD LinkADRAns Payload = [0x]0307	Request accepted and no change to DR
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 ChMask - Enable only the default channels, refer [2] NbTrans = 1 Payload = [0x]03XXXXXXXX	

5	DUT sends Unconfirmed frame FCntUp = n + 3	→	MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings
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2.5.8.b.i.2. ChannelMask functionality & Disable all Channels

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The **TCL** sends *NewChannelReq* command to configure a new channel and *LinkADRReq* to disable that channel using the channel mask. The **TCL** verifies that no transmissions are sent on the new channel. The **TCL** then sends a *LinkADRReq* to enable the new channel. The **TCL** verifies that the **DUT** begins using the new channel in a random sequence.

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Finally, the additional channel is removed.

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Disable all Channels

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Lastly, the **TCL** tries to disable all channels by sending a *LinkADRReq* command with channel mask control index of 0 and a channel mask of [0x]0000. The **DUT** must reply with an unsuccessful *LinkADRAns*, (i.e. not [0x]0307).

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The **TCL** verifies that **DUT** still uses the default uplink channels in random sequence at an appropriate data rate.

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2.5.8.b.i.2.1. Test Procedure Frame Sequence Chart for Channel Mask and Disable all Channels

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Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD NewChannelReq ChIndex = X (where X = any unused optional channel index) Freq = Any allowed frequency except the default frequency, refer [2] DRRange = Max125kHzDR to Max125kHzDR, refer [2] MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 ChMask - Enable only the default channels, refer [2] NbTrans = 1 Payload = [0x]07XXXXXXXXXX[0x]03XXXXXXX	Adds new channel and disables it
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	DataRate = Max125kHzDR, refer [2] MAC-CMD NewChannelAns MAC-CMD LinkADRAns Payload = [0x]0703[0x]0307	
3	Wait until all channels configured are used at least once to confirm the channel plan [Wait for a maximum of (5 * number of channels configured) uplink packets]	→ R [5*NbCh]	All default channels are used at least once. The newly added channel is not used.	DUT does not modify its channel plan
4	DUT sends Unconfirmed frame FCntUp = i	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 ChMask – Enable the default channels and the newly added channel only, refer [2] NbTrans = 1 Payload = [0x]03XXXXXXXX	Enables the new channel

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
5	DUT sends Unconfirmed frame FCntUp = i + 1	→	DataRate = Max125kHzDR, refer [2] MAC-CMD LinkADRAns Payload = [0x]0307	
6	Wait until all channels configured are used at least once to confirm the channel plan [Wait for a maximum of (5 * number of channels configured) uplink packets]	→ R [5*NbCh]	All default channels and the newly added channel are used at least once	New channel added to default plan
7	DUT sends Unconfirmed frame FCntUp = j	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD NewChannelReq ChIndex = X Freq = 0 MHz Payload = [0x]07XXXXXXXXXX	Removes new channel
8	DUT sends Unconfirmed frame FCntUp = j + 1	→	MAC-CMD NewChannelAns Payload = [0x]0703	Channel removed
9	DUT sends Unconfirmed frame FCntUp = k	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 ChMask = [0x]0000 NbTrans = 1 Payload = [0x]03XXXXXXXXXX	Tries to disable all channels
10	DUT sends Unconfirmed frame FCntUp = k + 1	→	MAC-CMD LinkADRAns Payload NOT = [0x]0307	Channel plan not modified
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 ChMask - Enable only the default channels, refer [2] NbTrans = 1 Payload = [0x]03XXXXXXXXXX	
11	DUT sends Unconfirmed frame FCntUp = k + 2	→	MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings

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2.5.8.b.ii. For Fixed channel plan Devices

This section is applicable for only Fixed channel plan devices.

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816**2.5.8.b.ii.1. 125kHz Uplink Channel Management**

This test validates the **DUT**'s ability to process commands to operate at Data Rates allowed for 125 kHz uplink channels as defined in [2]. It also validates the **DUT** replies appropriately to invalid *LinkADRReq* commands with unsuccessful *LinkADRAns*.

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821**2.5.8.b.ii.1.1. Valid Command Processing**

The **TCL** sends *LinkADRReq* commands to change the **DUT**'s DataRate to each of the allowed Data Rates for 125 kHz uplink channels, refer [2]. The commands use channel mask control 6 and a channel mask value of [0x]00FF.

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Verify

- The **DUT** replies to each valid request with a successful *LinkADRAns*.
- The DataRate of subsequent uplinks is as commanded.

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826**2.5.8.b.ii.1.1.1. Test Procedure Frame Sequence Chart**

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq- OFF Payload = [0x]0500 FPort = 224	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRRReq TXPower = Maximum refer [2] DataRate = The first allowed data rates for 125 kHz uplink channels, refer [2] ChMaskCntl = 6 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
3	DUT sends Unconfirmed frame FCntUp >= n + 2	→ R [All DR for 125kHz]	MAC-CMD LinkADRAns DataRate = As set in the LinkADRRReq Payload = [0x]0307	
	The TCL sends Unconfirmed frame Repeat the test for all the remaining allowed Data Rates for 125 kHz uplink channels	← R [All DR for 125kHz]	MAC-CMD LinkADRRReq TXPower = Maximum refer [2] DataRate = Each of the other allowed data rates for 125 kHz uplink channels, refer [2] ChMaskCntl = 6 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
4	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns DataRate = As set in the LinkADRRReq Payload = [0x]0307	All allowed DRs tested
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRRReq TXPower = Maximum DataRate = [0x]F ChMaskCntl = 6 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	Testing for DR = [0x]F
5	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	Request accepted and no change to DR

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 6 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
6	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq-ON FPort = 224 Payload = [0x]0501	
7	DUT sends Unconfirmed frame	→		

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2.5.8.b.ii.1.2. Invalid Command Processing

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Using separate downlinks, the **TCL** sends two commands: the first is a valid command disabling all 500 kHz uplink Channels with mask index 6, channel mask of [0x]0000 and an allowed Data Rate for 125 kHz uplink channels, refer [2]. The second is an invalid *LinkADRReq* command with channel mask control 6, channel mask of [0x]0000 and an allowed Data Rates for 500 kHz uplink channels, refer [2]

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Verify

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- The **DUT** replies to the first command with a successful LinkADRAns ([0x]0307) and to the second with an unsuccessful LinkADRAns. Acceptable values of the second LinkADRAns include (bad DR) [0x]0305, or (bad ChMask) [0x]0306 or (both bad DR & bad Channel) [0x]0304.

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Next, using separate downlinks, the **TCL** sends two commands: the first is a valid command disabling all 125 kHz uplink Channels with mask index 7, channel mask of [0x]00FF and an allowed Data Rate for 500 kHz uplink channels, refer [2]. The second is an invalid command with a mask index 0, channel mask of [0x]0001 and an allowed Data Rate for 125 kHz uplink channels, refer [2].

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Verify

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- The **DUT** replies to the first command with a successful LinkADRAns ([0x]0307) and to the second with an unsuccessful LinkADRAns. Acceptable values of the second LinkADRAns include (bad DR) [0x]0305, or (bad ChMask) [0x]0306 or (both bad DR & bad Channel) [0x]0304.

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2.5.8.b.ii.1.2.1. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum refer [2] DataRate = An allowed data rate for 125 kHz uplink channels, refer [2] ChMaskCntl = 6 ChMask = [0x]0000 NbTrans = 1 Payload = [0x]03XXXXXXXX	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	MAC-CMD LinkADRAns DataRate = As set in the LinkADRReq Payload = [0x]0307	Successful LinkADRAns
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum refer [2] DataRate = An allowed data rates for 500 kHz uplink channels, refer [2] ChMaskCntl = 6 ChMask = [0x]0000 NbTrans = 1 Payload = [0x]03XXXXXXXX	
3	DUT sends Unconfirmed frame FCntUp = n + 2	→	MAC-CMD LinkADRAns Payload = Any one of these- (bad DR) [0x]0305, or (bad ChMask) [0x]0306 or (both bad DR & bad Channel) [0x]0304.	Unsuccessful LinkADRAns
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum refer [2] DataRate = An allowed data rate for 500 kHz uplink channels, refer [2] ChMaskCntl = 7 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
4	DUT sends Unconfirmed frame FCntUp = n + 3	→	MAC-CMD LinkADRAns DataRate = As set in the LinkADRReq Payload = [0x]0307	Successful LinkADRAns

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum refer [2] DataRate = An allowed data rate for 125 kHz uplink channels, refer [2] ChMaskCntl = 0 ChMask = [0x]0001 NbTrans = 1 Payload = [0x]03XXXXXXXX	
5	DUT sends Unconfirmed frame FCntUp = n + 4	→	MAC-CMD LinkADRAns Payload = Any one of these- (bad DR) [0x]0305, or (bad ChMask) [0x]0306 or (both bad DR & bad Channel) [0x]0304.	Unsuccessful LinkADRAns
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 6 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
6	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings

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2.5.8.b.ii.1.3. Enable All-Channels

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The **TCL** then sends a LinkADRReq to enable all channels. In this case the command's channel mask control index is 6 and the DR specified must be appropriate for 125 kHz channels. The channel mask must be applied to the 500 kHz channels. The **DUT** must reply with a successful *LinkADRAns*. The TCL verifies that DUT resumes using the full range (64) of 125kHz uplink channels in random sequence at an appropriate DR.

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2.5.8.b.ii.1.3.1. Test Procedure Frame Sequence Chart

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Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→]	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq TXPower = Maximum refer [2] DataRate = Max data rate for 125 kHz uplink channels, refer [2] ChMaskCntl = 6 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	MAC-CMD LinkADRAns DataRate = As set in the previous step Payload = [0x]0307	
3	Wait for a maximum of 2 * (number of channels configured) uplink packets to be sent, i.e. until all channels are used at least once.	→ R [2*NbCh] or [All Ch used]	<i>Official certification:</i> DUT resumes using the full range (64) of 125kHz uplink channels in random sequence at the DR set <i>Pre-testing with 8-channel gateway:</i> Channels 0-7 and Channel 64 must be used at least once.	All channels must be used at least once
4	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 6 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
5	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings

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2.5.8.b.ii.2. 500 kHz Uplink Channel Management

This test validates the **DUT's** ability to process commands to operate at Data Rates allowed for 500 kHz uplink channels as defined in [2]. It also validates the **DUT** replies appropriately to invalid *LinkADDRReq* commands with an unsuccessful *LinkADRAns*.

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2.5.8.b.ii.2.1. Valid Command Processing

The **TCL** sends *LinkADDRReq* commands the **DUT's** Data Rate to an allowed DataRate for 500 kHz uplink channels, refer [2]. The commands use channel mask control 7 and a channel mask value of [0x]00FF.

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Verify

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- The **DUT** replies to the valid request with a successful LinkADRAAns ([0x]0307)

873

- The Data Rate of subsequent uplinks is as set by **TCL** command, and all 500kHz uplink channels are used.

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Next the **TCL** sends a single *LinkADRReq* command to the **DUT** with an allowed DataRate for 500 kHz uplink channels, refer [\[2\]](#), channel mask control 7, and channel mask value of [0x]0001.

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Verify

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- The **DUT** replies to the valid request with a successful LinkADRAAns ([0x]0307)

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- All subsequent uplinks are sent on Channel 64 at the DataRate set by the **TCL** command.

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2.5.8.b.ii.2.1.1. Test Procedure Frame Sequence Chart

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Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRRReq TXPower = Maximum refer [2] DataRate = An allowed data rate for 500 kHz uplink channels, refer [2] ChMaskCntl = 7 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	MAC-CMD LinkADRAns DataRate = As set in the previous step Payload = [0x]0307	All allowed DRs tested
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRRReq TXPower = Maximum, refer [2] DataRate = An allowed DR for 500 kHz, refer [2] ChMaskCntl = 7 ChMask = [0x]0001 NbTrans = 1 Payload = [0x]03XXXXXXXX	
3	DUT sends Unconfirmed frame FCntUp = n + 2	→	MAC-CMD LinkADRAns Payload = [0x]0307	
4	DUT sends Unconfirmed frame FCntUp = n + 3	→	DUT sends uplinks only on Channel 64 at the DataRate set	Channel and DR tested
5	DUT sends Unconfirmed frame FCntUp = n + 4	→	DUT sends uplinks only on Channel 64 at the DataRate set	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 6 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
6	DUT sends Unconfirmed frame FCntUp = n + 5	→	MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings

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2.5.8.b.ii.2.2. Invalid Command Processing

Using separate downlinks, the **TCL** sends two commands: the first is a valid command disabling all 125 kHz uplink channels with mask index 7, channel mask of [0x]00FF and an allowed DataRate for 500 kHz uplink channels, refer [2]. The second is an invalid *LinkADRReq* command with channel mask control 7, channel mask of [0x]0000 (or [0x]FF00) and an allowed DataRate for 125 kHz uplink channels, refer [2].

Verify

- The **DUT** must reply to the first command with a successful LinkADRAns ([0x]0307) and to the second with an unsuccessful LinkADRAns of (bad DR) [0x]0305, or (bad ChMask) [0x]0306 or (both bad DR & bad Channel) [0x]0304. ([0x]0304).
- The DataRate of subsequent uplinks is as set by the **TCL** command.

2.5.8.b.ii.2.2.1. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum refer [2] DataRate = An allowed data rate for 500 kHz uplink channels, refer [2] ChMaskCntl = 7 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	MAC-CMD LinkADRAns DataRate = As set in the LinkADRReq Payload = [0x]0307	Successful LinkADRAns
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum refer [2] DataRate = An allowed data rate for 125 kHz uplink channels, refer [2] ChMaskCntl = 7 ChMask = [0x]0000 NbTrans = 1 Payload = [0x]03XXXXXXXX	
3	DUT sends Unconfirmed frame FCntUp = n + 2	→	MAC-CMD LinkADRAns Payload = Any one of these- (bad DR) [0x]0305, or (bad ChMask) [0x]0306 or (both bad DR & bad Channel) [0x]0304.	Unsuccessful LinkADRAns
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 6 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
4	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings

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903 **2.5.8.b.ii.3. Disable all Channels (125kHz and 500kHz channels)**
 904 Lastly, the **TCL** then tries to disable all channels by sending a *LinkADRReq* command
 905 with channel mask control index of 7 and a channel mask of [0x]0000. The **DUT** *must*
 906 reply with an unsuccessful *LinkADRAns* of (bad DR) [0x]0305, or (bad ChMask) [0x]0306
 907 or (both bad DR & bad ChMask) [0x]0304.

908 **2.5.8.b.ii.3.1. Test Procedure Frame Sequence Chart**

Step	Procedure	End Device - TCL	Frame Sequence	Test Purpose
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD <i>LinkADRReq</i> TXPower = Maximum refer [2] DataRate = An allowed data rate, refer [2] ChMaskCntl = 7 ChMask = [0x]0000 NbTrans = 1 Payload = [0x]03XXXXXXXX	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	MAC-CMD <i>LinkADRAns</i> DataRate = As set in the <i>LinkADRReq</i> Payload = Any one of these- (bad DR) [0x]0305, or (bad ChMask) [0x]0306 or (both bad DR & bad ChMask) [0x]0304.	Unsuccessful <i>LinkADRAns</i> when disabling all channels

909 **2.5.8.c. Redundancy**

910 This test validates the **DUT's** correct implementation *NbTrans* setting within the *LinkADRReq*
 911 command. The **TCL** sends *LinkADRReq* requesting a repetition count of 2.

912 Verify

- 913 • All subsequent unconfirmed/confirmed uplink frames from the **DUT** are transmitted
 914 twice (the same sequence number is received twice by the **TCL**). The number of
 915 redundant uplinks verified by the harness is at the discretion of the Test Tool vendor.
- 916 • The **DUT** does not repeat the transmission if a downlink is received during the RX1
 917 window.
- 918 • The **DUT** does not repeat the transmission if a downlink is received during the RX2
 919 window.
- 920 • For Fixed channel plan devices only: The DUT appropriately performs frequency
 921 hopping for repeated transmissions.

922
 923 Once the above criteria are verified for a *NbTrans* setting of 2, the **DUT** is commanded back to
 924 the default of 1 by the **TCL** using a *LinkADRReq* command wherein the *NbTrans* value is 0. This
 925 default setting is also validated.

926 The above test is repeated for *NbTrans* = 3 within the *LinkADRReq* command.

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2.5.8.c.i. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x	
3	DUT sends Unconfirmed frame FCntUp = n + 2	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 2 Payload = [0x]03XXXXXXXX	
4	DUT sends Unconfirmed frame FCntUp = n + 3	→	MAC-CMD LinkADRAns Payload = [0x]0307	
5	DUT sends Unconfirmed frame FCntUp = n + 3	→	MAC-CMD LinkADRAns Payload = [0x]0307 FC plan only: The frequency is different from the previous uplink.	Uplink sent twice
6	DUT sends Unconfirmed frame FCntUp = n + 4	→		
7	DUT sends Unconfirmed frame FCntUp = n + 4	→		
8	DUT sends Unconfirmed frame FCntUp = n + 5	→		
	The TCL sends Unconfirmed frames on RX1 window	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
9	DUT sends Unconfirmed frame FCntUp = n + 6	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 1	Transmit not repeated when downlink received on RX1

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
10	DUT sends Unconfirmed frame FCntUp = n + 6	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 1 FC plan only: The frequency is different from the previous uplink.	
11	DUT sends Unconfirmed frame FCntUp = n + 7	→		
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
12	DUT sends Unconfirmed frame FCntUp = n + 8	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 2	Transmit not repeated when downlink received on RX2
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 1 Payload = [0x]03XXXXXXXX	
13	DUT sends Unconfirmed frame FCntUp = n + 9	→	MAC-CMD LinkADRAns Payload = [0x]0307	Uplink sent once
14	DUT sends Unconfirmed frame FCntUp = n + 10	→		
	The TCL sends Unconfirmed frame	←	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Confirmed Payload = [0x]0702	Switch to Confirmed frames
15	DUT sends Confirmed frame FCntUp = n + 11	→		

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame	←	Acknowledge MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 3 Payload = [0x]03XXXXXXXX	
16	DUT sends Confirmed frame FCntUp = n + 12	→	MAC-CMD LinkADRAns Payload = [0x]0307	
17	DUT sends Confirmed frame FCntUp = n + 12	→	MAC-CMD LinkADRAns Payload = [0x]0307 FC plan only: The frequency is different from the previous uplink.	
18	DUT sends Confirmed frame FCntUp = n + 12	→	MAC-CMD LinkADRAns Payload = [0x]0307 FC plan only: The frequency is different from the previous 2 uplinks.	Uplink sent thrice
19	DUT sends Confirmed frame FCntUp = n + 13	→		
	The TCL sends Unconfirmed frame	←	Acknowledge CP-CMD TxFramesCtrlReq FPort = 224 Frame type = Unconfirmed Payload = [0x]0701	Revert to Unconfirmed frames
20	DUT sends Unconfirmed frame FCntUp = n + 14	→		
	The TCL sends Unconfirmed frame	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
21	DUT sends Unconfirmed frame FCntUp = n + 15	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 6	Transmit not repeated when downlink received

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
22	DUT sends Unconfirmed frame FCntUp = n + 15	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 6 FC plan only: The frequency is different from the previous uplink.	
23	DUT sends Unconfirmed frame FCntUp = n + 15	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 6 FC plan only: The frequency is different from the previous 2 uplinks.	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 0 Payload = [0x]03XXXXXXXX	
24	DUT sends Unconfirmed frame FCntUp = n + 16	→	MAC-CMD LinkADRAns Payload = [0x]0307	Uplink sent once
25	DUT sends Unconfirmed frame FCntUp = n + 17	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 1 Payload = [0x]03XXXXXXXX	
26	DUT sends Unconfirmed frame FCntUp = n + 18	→	MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings

929 2.5.8.d. **Data Rate Decay**

930 2.5.8.d.i. **DR Decay test for all devices**

931 This test validates the **DUT**'s implementation of the *ADR_ACK_LIMIT*,
932 *ADR_ACK_DELAY*, and use of the *ADRACKReq* bit in its uplinks' frame header. A
933 tolerance of +/- 2 uplinks is allowed for the **DUT**'s implementation of both the
934 *ADR_ACK_LIMIT* and *ADR_ACK_DELAY* parameters.
935

936 The **TCL** adds a new channel.

937 The **TCL** sends *LinkADRReq* to configure the **DUT** to use

- 938 - the Max125kHzDR data rate for DC and 500kHz for FC,
- 939 - TXPower Index = 1,
- 940 - For DC devices: Disable all default channels and enable only the newly added
941 channel,
- 942 - For FC devices: Enable channel 0, 1 and 64,
- 943 - NbTrans set to 2.

944
945 It then stops sending downlinks until the **DUT** decays to the minimum default data
946 rate, refer [\[2\]](#) .
947

948 **Verify**

- 949 • After sending *ADR_ACK_LIMIT* (64) uplinks the **DUT** must - in a sustained
950 absence of downlinks - set the *ADRACKReq* bit in the next *ADR_ACK_DELAY*
951 (32) uplinks' frame headers.
- 952 • As of its 96th consecutive uplink in the continued absence of downlinks, the
953 **DUT** must change the TXPower Index to 0 and leave the *ADRACKReq* bit set.
- 954 • As of its 128th consecutive uplink in the continued absence of downlinks, the
955 **DUT** must lower its data rate to Default, leave the TXPower Index set to 0 and
956 *ADRACKReq* bit set.
- 957 • The **DUT** further lowers its data rate by 1 each time another *ADR_ACK_DELAY*
958 cycle occurs (32) uplinks are sent in the sustained absence of downlinks.
- 959 • For FC plan devices, when the DR is decayed from a 500kHz DR to a 125kHz
960 DR, Channel 64 must be discontinued and Channels 0 and 1 must be used.
- 961 • After the DR reaches MinDR, in the continued absence of downlinks, the **DUT**
962 must re-enable all default uplink frequency channels for DC plan devices, re-
963 enable all channels for FC plan devices, reset *NbTrans* to 1, retain the
964 TXPower at Default, retain the DataRate at Default and the *ADRACKReq* bit is
965 kept set in subsequent uplinks.
- 966 • After receiving a downlink, the **DUT** unsets the *ADRACKReq* bit.
967
968

969 **2.5.8.d.i.1. Test Procedure Frame Sequence Chart**

970

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq- OFF FPort = 224 Payload = [0x]0500	
2	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame Note: This step is required for only regions with Dwell Time limitation	←	MAC-CMD TXParamSetupReq UplinkDwellTime = 0 Payload = [0x]09XX	Set TXParamSetupReq for regions with Dwell time limitation
3	DUT sends Unconfirmed frame	→	For regions with Dwell time limitation only MAC-CMD TXParamSetupAns Payload = [0x]09	
	The TCL sends Unconfirmed frame Note: This step must be performed for DC plan devices only	←	MAC-CMD NewChannelReq ChIndex = The first non-default channel, refer [2] Freq = Any allowed frequency for the channel, refer [2] DRRange = 0-5, refer [2] Payload = [0x]07XXXXXXXXXX	Create a new channel
4	DUT sends Unconfirmed frame Note: This step must be performed for DC plan devices only	→	MAC-CMD NewChannelAns Payload = [0x]0703	
	The TCL sends Unconfirmed frame Note: This step must be performed for DC plan devices only	←	MAC-CMD LinkADRReq TXPower Index = 1, refer [2] DataRate = X (where X = Max125kHzDR), refer [2] ChMaskCntl = 0 ChMask = Disable all default channels and enable only the newly added channel, refer [2] NbTrans = 2 Payload = [0x]03XXXXXXXX	
5	DUT sends Unconfirmed frame	→	If the LinkADRReq was sent in the previous step, MAC-CMD LinkADRAns Payload = [0x]0307 NbTrans = 2	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame Note: This step must be performed for FC plan devices only	←	MAC-CMD LinkADRReq TXPower Index = 1, refer [2] DataRate = Max500kHzDR, refer [2] ChMaskCntl = 7 ChMask = [0x]0001 NbTrans = 2 MAC-CMD LinkADRReq TXPower Index = 1, refer [2] DataRate = X (where X = Max500kHzDR), refer [2] ChMaskCntl = 0 ChMask = [0x]0003 NbTrans = 2 Payload = [0x]03XXXXXXXX[0x]03XXX XXXXX	
6	DUT sends Unconfirmed frame Note: This step must be performed for FC plan devices only	→	MAC-CMD LinkADRAns MAC-CMD LinkADRAns NbTrans = 2 Payload = [0x]0307[0x]0307	Repeat as NbTrans = 2
	<i>This is a repeated frame</i> DUT sends Unconfirmed frame Note: This step must be performed for FC plan devices only		MAC-CMD LinkADRAns MAC-CMD LinkADRAns NbTrans = 2 Payload = [0x]0307[0x]0307	
7	DUT sends Unconfirmed frame FCntUp = n Repeat 63 times without receiving any downlinks	→ R [63]	FCtrl ADRAckReq = False DataRate = X NbTrans = 2 TXPower Index = 1 Only the channels enabled must be used	Repeat as NbTrans = 2
	<i>This is a repeated frame</i> DUT sends Unconfirmed frame FCntUp = n Repeat 63 times without receiving any downlinks		FCtrl ADRAckReq = False DataRate = X NbTrans = 2 TXPower Index = 1 Only the channels enabled must be used	
8	DUT sends Unconfirmed frame FCntUp = n + 63 Repeat 32 times without receiving any downlinks (starting with n + 63)	→ R [32]	FCtrl ADRAckReq = True DataRate = X NbTrans = 2 TXPower Index = 1 Only the channels enabled must be used	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	<p><i>This is a repeated frame</i></p> <p>DUT sends Unconfirmed frame FCntUp = n + 63</p> <p>Repeat 32 times without receiving any downlinks (starting with n + 63)</p>		FCtrl ADRAckReq = True DataRate = X NbTrans = 2 TXPower Index = 1 Only the channels enabled must be used	Repeat as NbTrans = 2
9	<p>DUT sends Unconfirmed frame FCntUp = n + 95</p> <p>Repeat 32 times without receiving any downlinks (starting with n + 95)</p>	→ R [32]	FCtrl ADRAckReq = True DataRate = X NbTrans = 2 TXPower Index = 0 Only the channels enabled must be used	
	<p><i>This is a repeated frame</i></p> <p>DUT sends Unconfirmed frame FCntUp = n + 95</p> <p>Repeat 32 times without receiving any downlinks (starting with n + 95)</p>		FCtrl ADRAckReq = True DataRate = X NbTrans = 2 TXPower Index = 0 Only the channels enabled must be used	Repeat as NbTrans = 2
10	<p>DUT sends Unconfirmed frame FCntUp = FCntUp (previous) + 32</p> <p>Repeat 32 times without receiving any downlinks</p> <p>Note: Repeat this step until the DUT decays to the minimum DR for the region, refer [2]. The test is repeated even when DR = minDR</p>	→ R [32] until minDR	FCtrl ADRAckReq = True DataRate = Next lower DR, refer [2], until it reaches the minimum DR NbTrans = 2 TXPower Index = 0 Only the channels enabled must be used For FC only: If DataRate decays from 500kHz DR to 125kHz DR, DUT must discontinue usage of Channel 64, and instead use Channel 0 and 1	DUT switches to next lower DR, until it decays to the minimum DR
	<p><i>This is a repeated frame</i></p> <p>DUT sends Unconfirmed frame FCntUp = FCntUp (previous) + 32</p> <p>Repeat 32 times without receiving any downlinks</p> <p>Note: Repeat this step until the DUT decays to the minimum DR for the region, refer [2]. The test is repeated even when DR = minDR</p>		FCtrl ADRAckReq = True DataRate = Next lower DR, refer [2], until it reaches the minimum DR NbTrans = 2 TXPower Index = 0 Only the channels enabled must be used For FC only: If DataRate decays from 500kHz DR to 125kHz DR, DUT must discontinue usage of Channel 64, and instead use Channel 0 and 1	DUT switches to next lower DR, until it decays to the minimum DR

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
11	DUT sends Unconfirmed frame	→	FCtrl ADRAckReq = True NbTrans = 1 DataRate = MinDR, refer [2] TXPower = 0	DUT switches to default settings for Nbtrans and channels
12	Wait for a <u>Dynamic channel</u> : maximum of 5 * (number of channels configured) <u>Fixed channel</u> : maximum of 2 * (number of channels configured) uplink packets to be sent, i.e. until all channels are used at least once.	→ R [5*NChDC] or [2*NbChFC] or [AllCh used]	<i>Official certification (DC plan and FC plan):</i> All channels configured must be used at least once <i>Pre-testing for DC Plan:</i> All default channels must be used at least once. <i>Pre-testing for FC plan with 8-channel gateway:</i> Channels 0-7 must be used at least once.	All channels must be used at least once
13	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 (for DC) and 6 (for FC) ChMask = Enable only the default channels for DC, refer [2] and [0x]00FF for FC NbTrans = 1 Payload = [0x]03XXXXXXXX	
14	DUT sends Unconfirmed frame	→	FCtrl ADRAckReq = False MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings
	The TCL sends Unconfirmed frame	←	CP-CMD RegionalDutyCycleCtrlReq-ON FPort = 224 Payload = [0x]0501	
15	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame Note: This step must be performed only for DC plan devices	←	MAC-CMD NewChannelReq ChIndex = The non-default channel added in Step 2 of this table. Freq = 0 MHz Payload = [0x]07XXXXXXXXXX	Remove additional channel added

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
16	DUT sends Unconfirmed frame	→	If the NewChannelReq was sent in the previous step, MAC-CMD NewChannelAns Payload = [0x]0703	
	The TCL sends Unconfirmed frame Note: This step is required for only regions with Dwell Time limitation	←	MAC-CMD TXParamSetupReq UplinkDwellTime = default, refer [2] Payload = [0x]09XX	Reset Dwell time setting to default
17	DUT sends Unconfirmed frame	→	For regions with Dwell time limitation only MAC-CMD TXParamSetupAns Payload = [0x]09	

2.5.8.d.ii. Additional DR Decay test for only DC plan devices which support the optional data rates

This test validates that the **DUT** re-enables all the default channels when it decays from the Maximum optional Data Rate to Max125kHzDR.

The **TCL** adds a new channel for each optional data rate supported.

The **TCL** sends *LinkADRRReq* to configure the **DUT** to use

- the Maximum data rate,
- TXPower Index = 1,
- Disable all default channels and enable only the newly added channel(s),
- NbTrans set to 2.

It then stops sending downlinks until the **DUT** decays from maximum optional data rate to Max125kHzDR, refer [\[2\]](#).

Verify

- After sending *ADR_ACK_LIMIT* (64) uplinks the **DUT** must - in a sustained absence of downlinks - set the *ADRACKReq* bit in the next *ADR_ACK_DELAY* (32) uplinks' frame headers.
- As of its 96th consecutive uplink in the continued absence of downlinks, the **DUT** must change the TXPower Index to 0 and leave the *ADRACKReq* bit set.
- As of its 128th consecutive uplink in the continued absence of downlinks, the **DUT** must lower its data rate to Default, leave the TXPower Power set to 0 and *ADRACKReq* bit set.
- The **DUT** further lowers its data rate by 1 each time another *ADR_ACK_DELAY* cycle occurs (32) uplinks are sent in the sustained absence of downlinks.

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- 1000
- After the DR reaches decays from an optional DataRate to a Max125kHzDR, in the continued absence of downlinks, the **DUT** must re-enable all default uplink frequency channels.

1001 **2.5.8.d.ii.1. Test Procedure Frame Sequence Chart**

1002

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq- OFF FPort = 224 Payload = [0x]0500	
2	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame Note: This step is required for only regions with Dwell Time limitation	←	MAC-CMD TXParamSetupReq UplinkDwellTime = 0 Payload = [0x]09XX	Set TXParamSetupReq for regions with Dwell time limitation
3	DUT sends Unconfirmed frame	→	For regions with Dwell time limitation only MAC-CMD TXParamSetupAns Payload = [0x]09	
	The TCL sends Unconfirmed frame Note: This step must be performed only if the DUT supports 250kHz channels	←	MAC-CMD NewChannelReq ChIndex = An unused non-default channel, refer [2] Freq = Any allowed freq for a 250kHz channel, refer [2] DRRange = BW250OptionalDR- BW250OptionalDR, refer [2] Payload = [0x]07XXXXXXXXXX	Create a new channel for 250kHz channel
4	DUT sends Unconfirmed frame	→	If the NewChannelReq was sent in the previous step, MAC-CMD NewChannelAns Payload = [0x]0703	
	The TCL sends Unconfirmed frame Note: This step must be performed only if the DUT supports FSK channels	←	MAC-CMD NewChannelReq ChIndex = An unused non-default channel, refer [2] Freq = Any allowed frequency for an FSK channel, refer [2] DRRange = FSK50OptionalDR- FSK50OptionalDR, refer [2] Payload = [0x]07XXXXXXXXXX	Create a new channel for FSK channel if supported
5	DUT sends Unconfirmed frame	→	If the NewChannelReq was sent in the previous step, MAC-CMD NewChannelAns Payload = [0x]0703	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower Index = 1, refer [2] DataRate = X (where X = Maximum Supported Data Rate), refer [2] ChMaskCntl = 0 ChMask = Disable all default channels and enable only the newly added channel(s), refer [2] NbTrans = 1 Payload = [0x]03XXXXXXXX	
6	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	
7	DUT sends Unconfirmed frame FCntUp = n Repeat 63 times without receiving any downlinks	→ R [63]	FCtrl ADRAckReq = False DataRate = X TXPower Index = 1 Only the channels enabled must be used	
8	DUT sends Unconfirmed frame FCntUp = n + 63 Repeat 32 times without receiving any downlinks (starting with n + 63)	→ R [32]	FCtrl ADRAckReq = True DataRate = X TXPower Index = 1 Only the channels enabled must be used	
9	DUT sends Unconfirmed frame FCntUp = n + 95 Repeat 32 times without receiving any downlinks (starting with n + 95)	→ R [32]	FCtrl ADRAckReq = True DataRate = X TXPower Index = 0 Only the channels enabled must be used	
10	DUT sends Unconfirmed frame FCntUp = FCntUp (previous) + 32 Repeat 32 times without receiving any downlinks Note: Repeat this step until the DUT decays to the Max125kHzDR, refer [2]. When DR = Max125kHzDR, skip to the next step.	→ R [32] Until DUT switches from the OptionalDR to Max125kHzDR	FCtrl ADRAckReq = True DataRate = Next lower DR, refer [2], until it reaches Max125kHzDR, refer [2] TXPower Index = 0 Only the channels enabled must be used	DUT switches to next lower DR, until it decays to the Max125kHzDR
11	DUT sends Unconfirmed frame	→	FCtrl ADRAckReq = True DataRate = Max125kHzDR, refer [2] TXPower Index = 0 All default channels are enabled	DUT reenables all default channels

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
12	Wait for a maximum of 5 * (number of channels configured) uplink packets to be sent, i.e. until all channels are used at least once.	→ R [5*NChDC] or [AllDefCh used]	All default channels must be used at least once	All default channels must be used at least once
13	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 ChMask = Enable only the default channels, refer [2] NbTrans = 1 Payload = [0x]03XXXXXXXX	
14	DUT sends Unconfirmed frame	→	FCtrl ADRAckReq = False MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings
	The TCL sends Unconfirmed frame	←	CP-CMD RegionalDutyCycleCtrlReq-ON FPort = 224 Payload = [0x]0501	
15	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame Note: This step is required for only regions with Dwell Time limitation	←	MAC-CMD TXParamSetupReq UplinkDwellTime = default, refer [2] Payload = [0x]09XX	Reset Dwell time to default
16	DUT sends Unconfirmed frame	→	For regions with Dwell time limitation only MAC-CMD TXParamSetupAns Payload = [0x]09	
	The TCL sends Unconfirmed frame Note: This step must be performed only if the DUT supports 250kHz channels	←	MAC-CMD NewChannelReq ChIndex = The channel used in Step3 Freq = 0 DRRange = BW250OptionalDR- BW250OptionalDR, refer [2] Payload = [0x]07XXXXXXXXXX	Removes the 250kHz channel
17	DUT sends Unconfirmed frame	→	If the NewChannelReq was sent in the previous step, MAC-CMD NewChannelAns Payload = [0x]0703	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame Note: This step must be performed only if the DUT supports FSK channels	←	MAC-CMD NewChannelReq ChIndex = The channel used in Step4 Freq = 0 DRRange = FSK50OptionalDR-FSK50OptionalDR, refer [2] Payload = [0x]07XXXXXXXXXX	Removes the FSK channel
18	DUT sends Unconfirmed frame	→	If the NewChannelReq was sent in the previous step, MAC-CMD NewChannelAns Payload = [0x]0703	

1003

1004 **2.5.8.e. Command Block Channel Management**

1005 This test validates the **DUT** correctly processes blocks of multiple *LinkADRReq* commands
1006 included in the same downlink. The **DUT** is expected to service each of these MAC commands in
1007 the same sequence as it is ordered in the FOpts or FRMPayload field.

1008 **2.5.8.e.i. Dynamic channel plan devices**

1009 **2.5.8.e.i.1. Successful LinkADRReq block**

1010 The **TCL** sends multiple *LinkADRReq* commands in a single downlink as shown
1011 **Error! Reference source not found.** in Table 1: Successful LinkADRReq block
1012 **Error! Reference source not found.**
1013 **Error! Reference source not found.**.. The
1014 first command tries to disable all channels. The channel mask the second command
1015 enables is *channel 0*, and any default DR except the minimum DR, is appropriate for
1016 this channel. The last command enables all defined channels using the channel mask
1017 control 6 and configures any other default data rate except the ones used earlier for
1018 this test. The **DUT** is expected to respond with *LinkADRAns* [0x]0307 for all *LinkADRReq*
1019 commands.

1020

LinkADRReq CMD Index	DR	TXPower	Channel Mask	MaskCntl	NbTrans
1	Minimum	Any allowed TXPower	[0x]0000	0	0
2	Any default DR except the one set above	Any allowed TXPower, other than the one set above	[0x]0001	0	0

3	Any default DR except the ones set above	Any other allowed TXPower	[0x]0000	6	1
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Table 1: Successful LinkADRReq block

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Note:

- The DR, TX Power, and NbTrans values of only the last command are implemented by the **DUT**. Values for these parameters in any preceding command must be ignored.
- After processing the command block shown above, the **DUT** is expected to be configured as follows:
Channel Plan: Default channels only
Data Rate: As set in Step 3 in Table 1: Successful LinkADRReq block
TXPower: As set in Step 3 in **Error! Reference source not found.**Table 1: Successful LinkADRReq block

Verify

- The **DUT** replies with an uplink containing a successful *LinkADRAns* for each command in the block.
- The uplink containing the answers and all subsequent transmissions are sent using the default channels at the commanded DR.

2.5.8.e.i.1.1. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device – TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frame	←	<p>MAC-CMD1 LinkADDRReq TXPower = Any allowed TXPower, refer [2] DataRate = MinDR, refer [2] ChMaskCntl = 0 ChMask = [0x]0000 NbTrans = 0</p> <p>MAC-CMD2 LinkADDRReq TXPower = Any other allowed TXPower, refer [2] DataRate = Any default DR, except the one set above in this step, refer [2] ChMaskCntl = 0 ChMask = [0x]0001 NbTrans = 0</p> <p>MAC-CMD3 LinkADDRReq TXPower = Any other TXPower, refer [2] DataRate = Any default DR, except the ones set above in this step, refer [2] ChMaskCntl = 6 ChMask = [0x]0000 NbTrans = 1</p> <p>Payload = [0x]03XXXXXXXX[0x]03XXX XXXXX[0x]03XXXXXXXX</p>	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	<p>DataRate = same as set in CMD3 above</p> <p>MAC-CMD1 LinkADRAns</p> <p>MAC-CMD2 LinkADRAns</p> <p>MAC-CMD3 LinkADRAns</p> <p>Payload = [0x]0307[0x]0307[0x]0307</p>	Uplinks as configured
3	Wait for a maximum of 5 * (number of channels configured) = uplink packets to be sent, i.e. until all default channels are used at least once.	→ R [5*NbCh] or [All Ch used]	All default channels must be used at least once	All default channels must be used at least once
4	DUT sends Unconfirmed frame	→		

Step	Procedure	Frame Sequence		Test Purpose
		End Device – TCL	Frame	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 ChMask = Enable only the default channels, refer [2] NbTrans = 1 Payload = [0x]03XXXXXXXX	
5	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings

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2.5.8.e.i.2. Unsuccessful LinkADRReq block

The **TCL** sends several *LinkADRReq* commands in a single downlink as shown in Table 2: Unsuccessful LinkADRReq block. **Error! Reference source not found.** In this case the last command requires all channels to be disabled, all commands must be rejected.

LinkADRReq CMD Index	DR	TXPower	Channel Mask	MaskCntl	NbTrans
1	Any mandatory DR, except Max125kHzDR	Any	[0x]0001	0	0
2	Any other mandatory DR, except Max125kHzDR	Any	[0x]0000	6	1
3	Max125kHzDR	Any	[0x]0000	0	1

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Table 2: Unsuccessful LinkADRReq block

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Verify

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- The **DUT** replies with an uplink containing an unsuccessful LinkADRAns for each command in the block.
- The uplink data rate does not change and the **DUT** continues using the default channels at the previously configured data rate.

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2.5.8.e.i.2.1. Test Procedure Frame Sequence Chart

1052

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frame	←	<p>MAC-CMD1 LinkADRReq TXPower = Any allowed value, refer [2] DataRate = X (where X = any mandatory DR, except Max125kHzDR, refer [2]) ChMaskCntl = 0 ChMask = [0x]0001 NbTrans = 0</p> <p>MAC-CMD2 LinkADRReq TXPower = Any allowed value, refer [2] DataRate = Any other mandatory DR, except Max125kHzDR, refer [2] ChMaskCntl = 6 ChMask = [0x]0000 NbTrans = 1</p> <p>MAC-CMD3 LinkADRReq TXPower = Any allowed value, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 0 ChMask = [0x]0000 NbTrans = 1</p> <p>Payload = [0x]03XXXXXXXX[0x]03XXXXXXXX[0x]03XXXXXXXX</p>	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	<p>MAC-CMD1 LinkADRAns</p> <p>MAC-CMD2 LinkADRAns</p> <p>MAC-CMD3 LinkADRAns Payload = [0x]030X[0x]030X[0x]030X (where X is NOT = 7)</p>	All commands are rejected as the last command requires all channels to be disabled

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2.5.8.e.ii. Fixed channel plan devices

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2.5.8.e.ii.1. 125kHz Sub-Band Channel Plan

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The **TCL** sends two *LinkADRReq* commands in a single downlink as shown in Table 3: 125kHz Sub-Band Channel Plan Command Block. The first command disables all 125 kHz channels and simultaneously enables the *channel 64* (500 kHz channel).

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The DataRate of the first command is any data rate allowed for uplinking on 500 kHz enabled channels. The channel mask control of the second command enables *channel 0 – 7*. The DataRate must be an allowed data rate allowed for 125 kHz

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1061 uplink channels, refer [2]. The **DUT** is expected to respond with *LinkADRAns*
1062 *[0x]0307* for both *LinkADRReq* commands.

LinkADRReq CMD Index	DR	TXPower	Channel Mask	Mask Index	NbTrans
1	Any DR allowed for 500 kHz uplink channels	Maximum	[0x]0001	7	1
2	Any DR allowed for 125 kHz uplink channels	Any allowed TXPower other than max TXPower	[0x]00FF	0	1

Table 3: 125kHz Sub-Band Channel Plan Command Block

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Note:

- The DR, TX Power, and NbTrans values of only the last command are implemented by the **DUT**. Values for these parameters in any preceding command must be ignored.
- After processing the command block shown above, the **DUT** is expected to be configured as follows:
 - Channel Plan:** Channels 0 – 7
 - Data Rate:** DataRate set in the second command above
 - TXPower:** TXPower set in the second command above

1074 Verify

- The **DUT** replies with an uplink containing a successful *LinkADRAns* for each command in the block.
- The uplink containing the answers and all subsequent transmissions are sent only on the enabled 125kHz channel plan at the commanded DR.

1079 **2.5.8.e.ii.1.1. Test Procedure Frame Sequence Chart**

1080

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD1 LinkADDRReq TXPower = Maximum, refer [2] DataRate = Any DR allowed for 500 kHz uplink channels, refer [2] ChMaskCntl = 7 ChMask = [0x]0001 NbTrans = 1 MAC-CMD2 LinkADDRReq TXPower = Any allowed TXPower other than max TXPower, refer [2] DataRate = Any DR allowed for 125 kHz uplink channels, refer [2] ChMaskCntl = 0 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX[0x]03XXX XXXXX	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	DataRate and TXPower = same as set in CMD2 above Channel Plan = Channel 0-7 MAC-CMD1 LinkADRAns MAC-CMD2 LinkADRAns Payload = [0x]0307[0x]0307	Uplinks as configured
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq TXPower = Maximum, refer [2] DataRate = Max125kHzDR, refer [2] ChMaskCntl = 6 ChMask = [0x]00FF NbTrans = 1 Payload = [0x]03XXXXXXXX	
3	DUT sends Unconfirmed frame FCntUp = n + 2	→	MAC-CMD LinkADRAns Payload = [0x]0307	DUT reverted to default settings

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1084 **2.5.9.DutyCycleReq**

1085 The test validates that the **DUT** correctly updates its Duty Cycle – maximum aggregated transmit duty
1086 time.

1087

1088 **TCL** must then set the **DUT** to the maximum DataRate, refer [\[2\]](#).

1089 **DUT** sends uplink frames and **TCL** stores the time of arrival of 2 consecutive uplink frames.

1090 **TCL** sends *DutyCycleReq* MAC command with a *MaxDutyCycle* value of 7 (Duty Cycle is smaller than
1091 1% duty-cycle used for default channels)

1092 **DUT** again sends uplink frames and **TCL** stores the time of arrival of the next 2 consecutive uplink
1093 frames.

1094 Verify

- 1095 • **DUT** responds with a *DutyCycleAns* command, adjusts its duty cycle as requested by the **TCL** and
1096 transmits the uplink frames less frequently after the *DutyCycleReq* is sent.

1097

1098 **2.5.9.a. Test Procedure Frame Sequence Chart**

1099

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		Set DataRate to Max125kHzDR
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] Payload = [0x]03XXXXXXXX ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF	
2	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns Payload = [0x]0307 Time of Arrival = A	
3	DUT sends Unconfirmed frame	→	Time of Arrival = B	
	The TCL sends Unconfirmed frame	←	MAC-CMD DutyCycleReq Payload = [0x]0407	
4	DUT sends Unconfirmed frame	→	MAC-CMD DutyCycleAns Payload = [0x]04 Time of Arrival = C	Max Duty Cycle set to 7
5	DUT sends Unconfirmed frame	→	Time of Arrival = D Verify that $((B - A) + 5 \text{ sec}) < (D - C)$	Uplink frames are sent less frequently after the duty cycle is changed.
6	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD DutyCycleReq Payload = [0x]0400	
7	DUT sends Unconfirmed frame	→	MAC-CMD DutyCycleAns Payload = [0x]04	Revert to default Duty cycle

1100 2.5.10. DeviceTimeReq

1101 The **DUT** is triggered to request the **TCL** for the current network time and the **TCL** must correctly send
1102 the network time for the **DUT** to synchronize its time.

1103 **TCL** must trigger the **DUT** to send a *DeviceTimeReq* to the **TCL**. The **TCL** must reply with the
1104 *DeviceTimeAns* providing the current network time to the **DUT**. The **DUT** must return the value
1105 received on *DeviceTimeAns* in the Payload of the next uplink.

1106 Verify

- 1107 • **DUT** sends a *DeviceTimeReq* to the **TCL**.
- 1108 • **DUT** resumes normal operation after **TCL** sends *DeviceTimeAns*.

1109

1110

1111 2.5.10.a. **Test Procedure Frame Sequence Chart**

Step	Procedure	Frame Sequence		Test Purpose
		End Device – TCL	Frame	
1	DUT sends Unconfirmed frame	→		
2	TCL sends Unconfirmed frame	←	CP-CMD DeviceTimeReq FPort = 224 Payload = [0x]21	
3	DUT sends Unconfirmed frame	→	MAC-CMD DeviceTimeReq Payload = [0x]0D	
4	The TCL sends Unconfirmed frame	←	MAC-CMD DeviceTimeAns Payload = [0x]0DXXXXXXXXXX	DeviceTimeAns sent
5	DUT sends Unconfirmed frame	→		

1112 **2.5.11. RX Window test**

1113 2.5.11.a. **RX1 Receive Window Test**

1114 This test validates the **DUT**'s capability to receive data on RX1 for data rates as specified in
1115 RX1DRoffset table in [2].

1116 For each combination of Operating Uplink DR to RX1 offset:

- 1117 • The **TCL** commands the **DUT** to implement the target configuration and subsequently
1118 validates corresponding *LinkADRs* and *RXParamSetupAns* from the **DUT** indicating it has
1119 implemented the target configuration.
- 1120 • The **TCL** then sends 5 consecutive downlinks to the **DUT** on the RX1 window where:
1121 ○ At least one of the frames is the maximum allowed payload for the current RX1
1122 DR (with respect to the current offset).
1123 ○ At least one of the frames is confirmed, requiring the **DUT** to set the ACK bit in
1124 the subsequent uplink.
- 1125 • The **TCL** validates reception by verifying for each downlink that the subsequent uplink
1126 contains a payload indicating the **DUT**'s downlink counter has incremented by one. The
1127 uplink following the confirmed downlink must have its ACK bit set to true as well.

1128 For the given RX1DRoffset, the **TCL** then transitions the **DUT** through the remaining Uplink DR
1129 settings, verifying the respective *LinkADRs* and repeating the above procedure for each.

1130 Once the combinations for the current RX1DRoffset are exhausted, the **TCL** commands the **DUT**
1131 to the next RX1DRoffset, verifies the *RXParamSetupAns* and repeats the same series of downlink
1132 tests. The **TCL** proceeds in this fashion exhausting all combinations.

1133 For each unique RX1 DR in the RX1DRoffset table, the **TCL** will perform the Sufficient Reception
1134 test. For these tests RX1DRoffset will be set to default. The **TCL** sends 60 downlink packets in the
1135 RX1 window and verifies that at least 57 frames were received by the **DUT**.

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2.5.11.a.i. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	For DC only – If the DUT supports optional data rate(s), then The TCL sends Unconfirmed frame	←	CMD NewChannelReq ChannelIndex = any unused optional channel Frequency = any allowed frequency for the channel, refer [2] Payload = 0x07XXXXXXXXXX Note: If the DUT supports more than one optional data rate, a NewChannelReq must be sent for each of them.	
2	If the NewChannelReq was sent by the TCL, then DUT sends Unconfirmed frame	→	CMD NewChannelAns Payload = [0x]0703[Repeat for all channel added]	Added new channel(s) for the optional data rate(s) supported
	<i>For each RX1DRoffset combination in the RX1DRoffset table in [2], the TCL transitions the DUT to each Uplink Data Rate by repeating below Steps 3-9.</i>	R Steps 3-9 for [All RX1DR in RX1DRoffset table]		
3	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = X (where X = DR as defined in the RX1DRoffset table in [2], if DR is supported) ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF MAC-CMD RxParamSetupReq RX1DRoffset = Offset value as defined in the RX1DRoffset table in [2] RX2DataRate = default DR, refer [2] RX2Frequency = default frequency, refer [2] Payload = [0x]03XXXXXXXX[0x]05XXXXXX	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
4	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD RxParamSetupAns Payload = [0x]0307[0x]0507	
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
5	DUT sends Unconfirmed frame	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x	
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq- OFF FPort = 224 Payload = [0x]0500	
6	DUT sends Unconfirmed frame <i>Repeat 4 times</i>	→ R [4]		
	The TCL sends Unconfirmed frames on RX1 window <i>Repeat 4 times</i>	← R [4]	CP-CMD TxFramesCtrlReq FPort = 224 Frame type = No change Payload = [0x]0700	
7	DUT sends Unconfirmed frame	→		
	The TCL sends a Confirmed frame on RX1 window	←	Payload = Max allowed payload as defined in Maximum Payload size table in [2]	
8	DUT sends Unconfirmed frame	→	ACK Bit = True	
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
9	DUT sends Unconfirmed frame	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt >= x + 4	
	<i>Repeat steps 3-9 for each RX1DRoffset combination in the RX1DRoffset table in [2].</i>			
	<i>For each unique RX1 Data Rate in the RX1DRoffset table in [2], the TCL will perform the Sufficient Reception test by repeating Steps 10-15.</i>			
		R Steps 10-15 for [All RX1DR in RX1DRoffset table]		
10	DUT sends Unconfirmed frame	→		

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = X (where X = DR as defined in the RX1DRoffset table in [2], if DR is supported) ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF MAC-CMD RxParamSetupReq RX1DRoffset = 0 Payload = [0x]03XXXXXXXX[0x]05XXXXXXX	
11	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD RxParamSetupAns Payload = [0x]0307[0x]0507	
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
12	DUT sends Unconfirmed frame	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = y	
13	DUT sends Unconfirmed frame	→ R [60]		
	<i>Repeat 60 times</i> The TCL sends Unconfirmed frame on RX1 window <i>Repeat 60 times</i>	← R [60]	CP-CMD TxFramesCtrlReq DataRate = X FPort = 224 Frame type = No change Payload = [0x]0700 (MaxLen for RX1 DR)	
14	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
15	DUT sends Unconfirmed frame	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt ≤ y + 60 + 1 RxAppCnt ≥ y + 57 + 1	Reception failure rate ≤ 5%

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	<i>Repeat steps 10-15 for each unique RX1 Data Rate in the RX1DRoffset table in [2]</i>			
16	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq DataRate = Max125kHzDR, refer [2] ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF MAC-CMD RxParamSetupReq RX1DRoffset = 0 RX2DataRate = default DR, refer [2] Freq = default frequency, refer [2] Payload = [0x]03XXXXXXXX [0x]05XXXXXXXX	
17	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD RxParamSetupAns Payload = [0x]0307[0x]0507	DUT reverted to default settings
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq-ON FPort = 224 Payload = [0x]0501	
18	DUT sends Unconfirmed frame	→		
	For DC only – If the DUT supports optional data rate(s), then The TCL sends Unconfirmed frame	←	CMD NewChannelReq ChannelIndex = as added in Step 1 Frequency = 0 Payload = 0x07XXXXXXXXXX Repeat the command for all channels added in Step 1 of this table	
19	If the NewChannelReq was sent by the TCL, then DUT sends Unconfirmed frame	→	CMD NewChannelAns Payload = 0x0703[Repeat for all optional channels added]	Removed channel(s) added

1139 **2.5.11.b. RX2 Receive Window Test**

1140 This test validates the **DUT**'s capability to receive data on RX2 for all data rates.

1141 For each unique RX2DataRate, the **TCL** will perform the Sufficient Reception. For these tests
1142 RX1DROffset will be set to default. The **TCL** sends 60 downlink packets in the RX2 window and
1143 verifies that at least 57 messages were received by the **DUT**.

1144 **2.5.11.b.i. Test Procedure Message Sequence Chart**

1145

Step	Procedure	Message Sequence		Test Purpose
		End Device - TCL	Message	
1	DUT sends Unconfirmed frame	→		
	<i>For each RX2DataRate supported by the DUT, the TCL will perform the Sufficient Reception test by repeating Steps 2-7.</i>	R Steps 2-7 for [All RX2DR]		
2	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD RxParamSetupReq RX1DROffset = default, refer [2] RX2DataRate = X (where X = each supported data rate for RX2) RX2 Frequency = default, refer [2] Payload = [0x]05XXXXXXXX	
3	DUT sends Unconfirmed frame	→	MAC-CMD RxParamSetupAns Payload = [0x]0507	
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
4	DUT sends Unconfirmed frame	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = y	
5	DUT sends Unconfirmed frame	→ R [60]		
	The TCL sends Unconfirmed frame on RX2 window <i>Repeat 60 times</i> For each unique RX2 Data Rate, the TCL will perform the Sufficient Reception test.	← R [60]	CP-CMD TxFramesCtrlReq FrameType = No change DataRate = X FPort = 224 Payload = [0x]0700 (MaxLen for RX2 DR)	
6	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
7	DUT sends Unconfirmed frame	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt ≤ y + 60 + 1 RxAppCnt ≥ y + 57 + 1	Reception failure rate ≤ 5%
	<i>Repeat above steps 2-7 until all RX2 DataRates are tested</i>			
8	DUT sends Unconfirmed frame	→		

Step	Procedure	Message Sequence		Test Purpose
		End Device - TCL	Message	
	The TCL sends Unconfirmed frame	←	MAC-CMD RxParamSetupReq RX1DROffset = default, refer [2] RX2DataRate = default, refer [2] RX2 Frequency = default, refer [2] Payload = [0x]05XXXXXXXXXX	Revert to default RX2 DR
9	DUT sends Unconfirmed frame	→	MAC-CMD RxParamSetupAns Payload = [0x]0507	

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1147 **2.5.11.c. RX1 and RX2 simultaneous frames**

1148 This test validates that when **TCL** sends frames on both RX1 and RX2 windows simultaneously,

1149 **DUT** responds to the frame on the RX1 window and rejects the frame on the RX2 window.

1150

2.5.11.c.i. Test Procedure Message Sequence Chart

Step	Procedure	Message Sequence		Test Purpose
		End Device - TCL	Message	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
2	DUT sends Unconfirmed frame	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x	
	The TCL sends Unconfirmed frame on RX1 window	←	CP-CMD TxFramesCtrlReq FrameType = No change FPort = 224 Payload = [0x]0700	
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD TxFramesCtrlReq FrameType = No change FPort = 224 Payload = [0x]0700	
3	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
4	DUT sends Unconfirmed frame	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 2	RX2 frame is ignored if DUT received downlink in RX1

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2.5.11.d. RX Oversized Payload

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A follow-up negative test must be performed for each oversized scenario. The Max Payload size for each region is defined in [2]. After commanding the **DUT** such that the target RX DR is achieved, the TCL sends a downlink whose payload is one byte greater than the scenario's maximum. Note the payload content is random (i.e. not the echo command).

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The TCL must use both confirmed and unconfirmed oversized frames; as well as target both receive windows.

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Verify

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- The **DUT** continues normal operation in the presence of oversized downlinks. DUT must silently discard the oversized downlinks.

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2.5.11.d.i. Test Procedure Frame Sequence Chart

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Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq- OFF FPort = 224 Payload = [0x]0500	
	<i>Repeat Steps 2-7 "i" times, where i = all possible combinations in the Maximum Payload size table in [2]</i>	R Steps 2-7 for [All DR in Max Payload table]		
2	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq DataRate = X (where X = Each DR as defined in the Maximum Payload size table in [2]) ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF MAC-CMD RxParamSetupReq RX1DRoffset = 0 RX2DataRate = Y (where Y = Each RX2DataRate as defined in the Maximum Payload size table in [2]) Freq = default frequency [2] Payload = [0x]03XXXXXXXX[0x]05XXX XXXXX	
3	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD RxParamSetupAns Payload = [0x]0307[0x]0507	
	The TCL sends Unconfirmed frame on RX1 window	←	CP-CMD TxFramesCtrlReq FPort = 224 FrameType = Confirmed Payload (i) = [0x]0702(MaxLen + 1 for UL DR-X)	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
4	DUT sends Unconfirmed frame	→		DUT discards the oversized frame and sends Unconfirmed frame
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD TxFramesCtrlReq FPort = 224 FrameType = No change Payload (i) = [0x]0700 (MaxLen + 1 for UL DR-Y)	
5	DUT sends Unconfirmed frame	→		DUT continues normal operation
	The TCL sends Confirmed frame on RX1 window	←	CP-CMD TxFramesCtrlReq FPort = 224 FrameType = No change Payload (i) = [0x]0700 (MaxLen + 1 for UL DR-X)	
6	DUT sends Unconfirmed frame	→	No ACK	DUT continues normal operation (RX1)
	The TCL sends Confirmed frame on RX2 window	←	CP-CMD TxFramesCtrlReq FPort = 224 FrameType = No change Payload (i) = [0x]0700 (MaxLen + 1 for UL DR-Y)	
7	DUT sends Unconfirmed frame	→	No ACK	DUT continues normal operation (RX2)
	<i>Repeat above steps 2-7 until all Data Rates in the Max Payload table are tested</i>			
8	DUT sends Unconfirmed frame	→		

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq DataRate = Max125kHzDR, refer [2] ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF MAC-CMD RxParamSetupReq RX1DRoffset = 0 RX2DataRate = default, refer [2] Freq = default frequency, refer [2] Payload = [0x]03XXXXXXXX[0x]05XXX XXXXX	
9	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD RxParamSetupAns Payload = [0x]0307[0x]0507	DUT reverted to default settings
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq-ON FPort = 224 Payload = [0x]0501	
10	DUT sends Unconfirmed frame	→		

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1164 **2.5.11.e. Maximum Allowed Payload**

1165 The purpose of this test is to validate the maximum allowable payload sizes in uplink
 1166 transmissions. The test depends on properly functioning *LinkADDRReq* and *RXParamSetupReq*
 1167 MAC command control. The first part validates the maximum uplink payload for each of the five
 1168 uplink data rates against both receive windows, testing both positive and negative scenarios.
 1169 The second part validates the maximum downlink payload for the various RX1DR offsets as well
 1170 as various RX2 data rates.

1171 The **TCL** commands the **DUT** with some combination of the following settings and validates the
 1172 device successfully implements them before proceeding to test max payload handling:

- 1173 • RX1DRoffset = 0
- 1174 • RX2DataRate = Maximum default Data Rate, refer [2]

1175 The Maximum Payload size for each region is defined in [2].

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2.5.11.e.i. Max Payload via Echo

These tests are performed on both RX1 and RX2 Windows. For each 'Uplink DR', the **TCL** first commands the **DUT** to use the target 'Uplink DR' and verifies the configuration. It then sends an echo command ([0x]08) whose payload size is the current maximum allowed for the uplink DR as defined in [\[2\]](#).

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Verify

- The **DUT** responds to each echo command with an echo answer.
- The payload size is in fact the maximum for the **DUT**'s current DR and its content is correct.

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2.5.11.e.i.1. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq- OFF FPort = 224 Payload = [0x]0500	
	<i>Repeat Steps 2-5 "i" times, where i = all possible combinations in the Maximum Payload size table in [2]</i>	R Steps 2-5 for [All DR in Max payload table]		
2	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq DataRate = X (where X = Each DR as defined in the Maximum Payload size table in [2]) ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF MAC-CMD RxParamSetupReq RX1DRoffset = 0 RX2DataRate = Maximum default DR, refer [2] Freq = default frequency [2] Payload = [0x]03XXXXXXXX[0x]05XXX XXXXX	
3	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD RxParamSetupAns Payload = [0x]0307[0x]0507 DataRate = X	
	The TCL sends Unconfirmed frame on RX1 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload (i) = [0x]08XX (MaxLen for UL DR-X)	
4	DUT sends Unconfirmed frame	→	DataRate = X CP-CMD EchoPayloadAns FPort = 224 Payload (i)' = [0x]08XX (MaxLen for DR-X)	DUT echos MaxLen PDU for each Uplink DR on RX1

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload (i) = [0x]08XX (MaxLen for UL DR-X)	
5	DUT sends Unconfirmed frame	→	DataRate = X CP-CMD EchoPayloadAns FPort = 224 Payload (i)' = [0x]08XX (MaxLen for DR-X)	DUT echos MaxLen PDU for each Uplink DR on RX2
	<i>Repeat above Steps 2-5 for all DR in Max payload size table</i>			
6	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF MAC-CMD RxParamSetupReq RX1DRoffset = 0 RX2DataRate = default DR, refer [2] Freq = default frequency, refer [2] Payload = [0x]03XXXXXXXX[0x]05XXX XXXXX	
7	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD RxParamSetupAns Payload = [0x]0307[0x]0507	DUT reverted to default settings
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq-ON FPort = 224 Payload = [0x]0501	
8	DUT sends Unconfirmed frame	→		

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2.5.11.e.ii. Oversized Payload via Echo

These tests are performed on RX2 Window only. For each 'Uplink DR', the **TCL** first commands the **DUT** to use the target 'Uplink DR' and verifies the configuration. It then sends an echo command ([0x]08) whose payload size is one byte greater than the current maximum allowed for the uplink DR. The Maximum Payload size for each region is defined in [\[2\]](#).

Verify

- The **DUT** does not uplink an echo response for any of the echo commands due to uplink size limitation. It may either respond with an uplink that has no payload, or silently discard the echo-command.
- Subsequent to the first uplink sent after receiving the command, uplinks must resume containing only the default payload (downlink counter).

2.5.11.e.ii.1. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq- OFF FPort = 224 Payload = [0x]0500	
	<i>Repeat Steps 2-4 'i' times, where i = all possible combinations in the Maximum Payload size table in [2]</i>	R Steps 4-6 [All DR in Max Payload table]		
2	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADDRReq DataRate = X (where X = Each DR as defined in the Maximum Payload size table in [2]) ChMaskCntl: DC = 0, FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF MAC-CMD RxParamSetupReq RX1DRoffset = 0 RX2DataRate = Maximum default DR, refer [2] Freq = default frequency, refer [2] Payload = [0x]03XXXXXXXX[0x]05XX XXXXXX	
3	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD RxParamSetupAns Payload = [0x]0307[0x]0507 DataRate = X	
	The TCL sends Unconfirmed frame on RX2 window	←	CP-CMD EchoPayloadReq FPort = 224 Payload (i) = [0x]08XX (MaxLen + 1 for UL DR-X)	

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
4	DUT sends Unconfirmed frame	→	Payload does not exceed limits	DUT continues normal operation in the presence of oversized downlinks after each Uplink DR on RX2
	<i>Repeat above Steps 2-4 for all DR in Max payload size table</i>			
5	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq DataRate = Max125kHzDR, refer [2] ChMaskCntl: DC = 0; FC = 6 ChMask: DC - Enable only default channels FC = [0x]00FF MAC-CMD RxParamSetupReq RX1DRoffset = 0 RX2DataRate = default DR, refer [2] Freq = default frequency, refer [2] Payload = [0x]03XXXXXXXX[0x]05XX XXXXXX	
6	DUT sends Unconfirmed frame	→	MAC-CMD LinkADRAns MAC-CMD RxParamSetupAns Payload = [0x]0307[0x]0507	DUT reverted to default settings
	The TCL sends Unconfirmed frames	←	CP-CMD RegionalDutyCycleCtrlReq-ON FPort = 224 Payload = [0x]0501	
7	DUT sends Unconfirmed frame	→		

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1207 **2.5.12. MAC Command(s) in App-Payload and/or Frame Options**

1208 These tests ensure a **DUT** appropriately accepts and processes or discards a downlink whose contents
1209 include one or more MAC commands in the App-Payload (i.e. FRMPayload) and/or the Frame options
1210 (FOpts) portion of the frame.

1211 **2.5.12.a. App-Payload Only (FPort = 0)**

1212 Perform the test listed below such that all MAC commands are sent to the **DUT** within the App-
1213 Payload portion of a single downlink. Successful completion of each test indicates the MAC
1214 Command payload is properly decrypted using the Network Session Key and processed by the
1215 **DUT**. It is up to the **DUT** to choose how it answers: it can choose either the FOpts field or the
1216 FRMPayload. Some devices for example may use the FRMPayload when the MAC command
1217 answers are larger than the 15 bytes limit of the FOpts field.

- 1218 • DevStatusReq
- 1219 • RxParamSetupReq
- 1220 • RxTimingSetupReq
- 1221 • LinkADRReq

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2.5.12.a.i. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frame	←	<u>App-Payload</u> MAC-CMD1 DevStatusReq MAC-CMD2 RxParamSetupReq MAC-CMD3 RxTimingSetupReq MAC-CMD4 LinkADRReq Payload = [0x]06[0x]05XXXXXXXX[0x]08XX[0x]03XXXXXXXX FPort = 0	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	MAC-CMD1 DevStatusAns MAC-CMD2 RxParamSetupAns MAC-CMD3 RxTimingSetupAns MAC-CMD4 LinkADRAns Payload = [0x]06XXXX[0x]0507[0x]08[0x]0307	Successful completion of each command
3	The TCL sends Unconfirmed frame	←	CP-CMD TxFramesCtrlReq FrameType = No change FPort = 224 Payload = [0x]0700	
	DUT sends Unconfirmed frame FCntUp = n + 2	→		

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2.5.12.b. Frame Options Only (FPort NOT = 0)

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Perform the test listed below such that all MAC commands are sent to the **DUT** within the Frame Options field (i.e. FOpts) portion of a single downlink. Successful completion of each test indicates the MAC Command payload is properly decrypted using the Application Session Key and processed by the **DUT**. It is up to the **DUT** to choose how it answers: it can choose either the FOpts field or the FRMPayload. Some devices for example may use the FRMPayload when the MAC command answers are larger than the 15 bytes limit of the FOpts field.

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- DevStatusReq

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- RxParamSetupReq

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- RxTimingSetupReq

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- LinkADRReq

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2.5.12.b.i. Test Procedure Frame Sequence Chart

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frame	←	<u>Frame Options</u> MAC-CMD1 DevStatusReq MAC-CMD2 RxParamSetupReq MAC-CMD3 RxTimingSetupReq MAC-CMD4 LinkADRReq Payload = [0x]06[0x]05XXXXXXXX[0x]08XX[0x]03XXXXXXXX FPort NOT = 0	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	MAC-CMD1 DevStatusAns MAC-CMD2 RxParamSetupAns MAC-CMD3 RxTimingSetupAns MAC-CMD4 LinkADRAns Payload = [0x]06XXXX[0x]0507[0x]08[0x]0307	Successful completion of each command
3	The TCL sends Unconfirmed frame	←	CP-CMD TxFramesCtrlReq FrameType = No change FPort = 224 Payload = [0x]0700	
	DUT sends Unconfirmed frame FCntUp = n + 2	→		

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2.5.12.c. App-Payload and Frame Options

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Verify the **DUT** ignores a downlink when MAC commands are simultaneously present in the App-

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Payload and Frame options (FOpts) fields.

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2.5.12.c.i. Test Procedure Frame Sequence Chart

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Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame FCntUp = n	→		
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
2	DUT sends Unconfirmed frame FCntUp = n + 1	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x	
	The TCL sends Unconfirmed frame	←	<u>Frame Options</u> MAC-CMD1 LinkADDRReq MAC-CMD2 LinkADDRReq MAC-CMD3 RxParamSetupReq Payload = [0x]03XXXXXXXX[0x]03XXX XXXXX[0x]05XXXXXXXX <u>App-Payload</u> MAC-CMD1' LinkADDRReq MAC-CMD2' RxTimingSetupReq MAC-CMD3' DevStatusReq Payload = [0x]03XXXXXXXX[0x]08XX[0 x]06	
3	DUT sends Unconfirmed frame FCntUp = n + 2	→		Downlink ignored when MAC commands are present simultaneously in App-Payload and FOpts field
	The TCL sends Confirmed frame	←	<u>Frame Options</u> MAC-CMD1 LinkADDRReq MAC-CMD2 LinkADDRReq MAC-CMD3 RxParamSetupReq Payload = [0x]03XXXXXXXX[0x]03XXX XXXXX[0x]05XXXXXXXX <u>App-Payload</u> MAC-CMD1' LinkADDRReq MAC-CMD2' RxTimingSetupReq MAC-CMD3' DevStatusReq Payload = [0x]03XXXXXXXX[0x]08XX[0 x]06	

4	DUT sends Unconfirmed frame FCntUp = n + 3	→		Downlink ignored when MAC commands are present simultaneously in App-Payload and FOpts field
	The TCL sends Unconfirmed frames	←	CP-CMD RxAppCntReq FPort = 224 Payload = [0x]09	
5	DUT sends Unconfirmed frame FCntUp = n + 4	→	CP-CMD RxAppCntAns FPort = 224 Payload = [0x]09XXXX RxAppCnt = x + 1	Downlink counter not incremented with ignored downlinks

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1243 **2.5.13. Incorrect MAC Commands**

1244 These tests ensure that a **DUT** behaves normally after it receives incorrect MAC commands from the
1245 **TCL**.

1246 The invalid commands are:

- 1247 • LinkADDRReq with value out of spec - Payload: [0x]0380000000
- 1248 • Incomplete MAC command - Payload: [0x]03010000
- 1249 • Post Unknown MAC command ID - Payload: [0x]7F
- 1250 • Valid MAC command followed by invalid MAC commands – Payload: [0x]0603010000

1251 Verify:

- 1252 • The **DUT** continues normal operation after receiving the invalid commands

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1254 **2.5.13.a. Test Procedure Frame Sequence Chart**

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Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq Payload = [0x]0380000000 FPort = 0	
2	DUT sends Unconfirmed frame	→	LinkADRAns NOT = OK	DUT continues normal operation after receiving LinkADRReq with 'out of spec' Payload
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkADRReq Payload = [0x]03010000 FPort = 0	
3	DUT sends Unconfirmed frame	→	No response	DUT continues normal operation after incomplete MAC command Payload
	The TCL sends Unconfirmed frame	←	MAC-CMD Payload = [0x]7F FPort = 0	
4	DUT sends Unconfirmed frame	→	No response	DUT continues normal operation after unknown MAC command Payload
	The TCL sends Unconfirmed frame	←	MAC-CMD1 DevStatusReq MAC-CMD2 incomplete LinkADRReq Payload = [0x]0603010000 FPort = 0	
5	DUT sends Unconfirmed frame	→	MAC-CMD1 DevStatusAns Payload = [0x]06XXXX	DUT continues normal operation after incomplete MAC command Payload
	The TCL sends Unconfirmed frame	←	MAC-CMD1 LinkADRReq MAC-CMD2 [0x]7F CMD3 DevStatusReq FPort = 0	
6	DUT sends Unconfirmed frame	→	MAC-CMD1 LinkADRAns Payload = [0x]03XXXXXXXX	DUT answers only the first MAC command and continues normal operation if the second MAC command is invalid
7	Wait for a <u>Dynamic channel</u> : maximum of 5 * (number of channels configured) <u>Fixed channel</u> : maximum of 2 * (number of channels configured) uplink packets to be sent.	→ R [5*NbCh DC] or [2*NbChF C] or [AllCh used]	For DC plan devices: Only default channels must be used. The additional channel must not be added. For FC plan devices: The DUT must send an uplink on any of the channels enabled.	

1257 2.5.14. Multiple MAC commands prioritization

1258 This test verifies that when a combination of application payload and MAC answers, or new MAC
1259 commands are sent by the DUT, the priority for including information in the frame is as shown below.

1260

Priority Level	Information type
Highest	MAC answers
	New MAC commands
Lowest	Application payload

1261 Table 4: Transmit data insertion prioritization

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1263 Additionally, if the commands cannot fit in the same frame due to size restrictions, the message must
1264 be truncated.

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1267 Verification summary:

1268 • Within a single frame, the DUT must send all higher-priority information before sending any
1269 lower- priority information.

1270 • If the MAC command buffer is too large to fit in the frame, the DUT must truncate the buffer at
1271 the end of the last MAC command that is able to fit within the frame.

1272 • The DUT must execute the full list of MAC commands even if the buffer containing the MAC
1273 answers is truncated

1274 2.5.14.a. Test Procedure Frame Sequence Chart

1275

Step	Procedure	Frame Sequence		Test Purpose
		End Device - TCL	Frame	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	FPort = 224 CP-CMD LinkCheckReq MAC-CMD DevStatusReq MAC-CMD LinkADDRReq DataRate = Max125kHzDR Payload = [0x]20 FOpts = [0x]06[0x]03XXXXXXXX	
2	DUT sends Unconfirmed frame	→	MAC-CMD DevStatusAns MAC-CMD LinkADRAns MAC-CMD LinkCheckReq Payload = [0x]06XXXX[0x]0307[0x]02	DUT prioritises MAC answers over new MAC commands and application payload
	The TCL sends Unconfirmed frame	←	MAC-CMD LinkCheckAns Payload = [0x]02XXXX	
3	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame Note: This step is required for only regions with Dwell Time limitation	←	MAC-CMD TXParamSetupReq UplinkDwellTime = 0 Payload = [0x]09XX	Set TXParamSetupReq for regions with Dwell time limitation
4	DUT sends Unconfirmed frame	→	For regions with Dwell time limitation only MAC-CMD TXParamSetupAns Payload = [0x]09 DataRate = Max125kHzDR	

	TCL also sends Unconfirmed frame	←	<p>MAC-CMD1 DevStatusReq</p> <p>MAC-CMD2 RxParamSetupReq</p> <p>Repeat the MAC-CMD DevStatusReq until the MAC command uplink response buffer would be full for MinDR, refer [2]</p> <p>MAC-CMDX LinkADDRReq (with DataRate = MinDR)</p> <p><i>For example: For EU863-870, the Max payload size is 51 bytes for MinDR. Hence repeat the DevStatusReq command 15 times to ensure the MAC command response buffer is greater than 51 bytes.</i></p> <p>Payload = [0x]06[0x]05XXXXXXXX[0x]06[Repeat as required][0x]03XXXXXXXX</p>	
5	DUT sends Unconfirmed frame	→	<p>DataRate = MinDR</p> <p>MAC-CMD1 DevStatusAns MAC-CMD2 RxParamSetupAns MAC-CMD3 DevStatusAns ... MAC-CMDX DevStatusAns</p> <p>Payload = [0x]06XXXX[0x]0507[0x]06XXXX...[0x]06XXXX</p> <p>DUT truncates the MAC command when max payload size is exceeded.</p> <p>The sequence of the response must be exactly the same as described.</p> <p>The LinkADRAns is not sent in the response as it must be truncated due to payload size restrictions. However, the DR must be set to MinDR.</p>	Successful completion of all MAC commands in the correct sequence. Message truncated.

	TCL also sends Unconfirmed frame	←	<p>MAC-CMD1 DevStatusReq</p> <p>MAC-CMD2 RxParamSetupReq</p> <p>Repeat the MAC-CMD DevStatusReq until the MAC command uplink response buffer would be full for MinDR, refer [2] (i.e. same number of DevStatusReq commands sent in the previous step)</p> <p>MAC-CMDX LinkADRRReq (with DataRate = Max125kHzDR)</p> <p>Payload = [0x]06[0x]05XXXXXXXX[0x]06[Repeat as required][0x]03XXXXXXXX</p>	
6	DUT sends Unconfirmed frame	→	<p>DataRate = Max125kHzDR</p> <p>MAC-CMD1 DevStatusAns MAC-CMD2 RxParamSetupAns MAC-CMD3 DevStatusAns ... MAC-CMDX DevStatusAns MAC-CMDX+1 LinkADRAns</p> <p>Payload = [0x]06XXXX[0x]0507[0x]06XXXX...[0x]06XXXX[0x]0307</p> <p>DUT must not truncate the frame.</p>	Successful completion of all commands. Message is not truncated.

1276 3. FPort 224 Deactivation

1277 **This test must be performed as the last step ever to be performed on the device.** The test lab
1278 must ensure that all other tests are completed on the device before performing this test. When
1279 the FPort 224 is disabled, it cannot be re-enabled on the device again.

1280
1281 The **TCL** will send a downlink payload message of [0x]07E (*DutFPort224DisableReq*) over port
1282 224, thus disabling FPort 224 for the DUT.

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1284 3.1. Test Procedure Message Sequence Chart

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Step	Procedure	Message Sequence		Test Purpose
		End Device - TCL	Message	
1	DUT sends Unconfirmed frame	→		
	The TCL sends Unconfirmed frame	←	CP-CMD <i>DutFPort224DisableReq</i> FPort = 224 Payload = [0x]07E	Disable the FPort 224 for the DUT as the last step of the Test cycle
2	If the device is an OTAA device, DUT sends Join-Request frame	→		
	Else, skip to the next step If the device is an OTAA device, TCL sends Join-Accept response	←		Join accepted for OTAA device
3	DUT sends Unconfirmed or Confirmed frame	→	FPort = any allowed port except 224	
	The TCL sends Unconfirmed frame	←	CP-CMD TxPeriodicityChangeReq FPort = 224 Periodicity = 5 sec Payload = [0x]0601 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Try to set Uplink Periodicity
4	DUT sends Unconfirmed or Confirmed frame	→		
	If this uplink is not received within 2 minutes, then the test can be ended, and the next steps can be skipped. The TCL sends Unconfirmed frame	←	CP-CMD EchoPayloadReq FPort 224 Payload = [0x]08010203 If DUT sent Confirmed uplink frame, TCL must Acknowledge	
5	Wait for a maximum of 3 minutes for the DUT to send an Unconfirmed or Confirmed frame	→	No EchoPayloadAns response received	FPort 224 downlinks are not accepted.
	If no uplink is sent, the test can be ended, and the next steps can be skipped. The TCL sends Unconfirmed frame	←	CP-CMD EchoPayloadReq FPort 224 Payload = [0x]08010203 If DUT sent Confirmed uplink frame, TCL must Acknowledge	Repeat the EchoPayloadReq

6	Wait for a maximum of 3 minutes.	→	No EchoPayloadAns response received	FPort 224 downlinks are not accepted.
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1305 **4. Test Case Mapping with LoRaWAN Specification [1]**

1306 The following table provides the section mapping between the LoRaWAN Specification [1] and this
 1307 Certification Specification document

LoRaWAN Spec [1] section	This document section	Description
3.3	2.5.11	Receive Windows
4	0 2.4.1 and 2.4.2	MAC Packet Formats
5.1	2.5.7	LinkCheck
5.2	2.5.8	LinkADR
5.3	0	DutyCycle
5.4	2.5.4	RXParamSetup
5.5	2.5.1	DevStatus
5.6	2.5.2 and 2.5.3	NewChannel and DChannel
5.7	2.5.5	RXTimingSetup
5.8	2.5.6	TXParamSetup
5.9	2.5.10	DeviceTime
6.2	2.2	Over the air Activation
6.3	2.3	Activation by Personalization

1308 **Table 5 LoRaWAN Spec vs Certification Spec mapping**

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